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# Operando Methods in Electrocatalysis

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ABSTRACT: Electrocatalysis has been the cornerstone for enhancing energy efficiency, minimizing environmental impacts and carbon emissions, and enabling a more sustainable way of meeting global energy needs. Elucidating the structure and reaction mechanisms of electrocatalysts at electrode−electrolyte interfaces is fundamental for advancing renewable energy technologies, including fuel cells, water electrolyzers,  $CO<sub>2</sub>$  reduction, and batteries, among others. One of the fundamental challenges in electrocatalysis is understanding how to activate and sustain electrocatalytic activity, under operating conditions, for extended time periods and with optimal activity and selectivity. Although traditional ex situ methods have provided a baseline understanding of heterogeneous (electro)catalysts, they cannot provide real-time interfacial structural and compositional changes under reaction conditions, which calls for the use of in situ/operando



methods. Herein, we provide a selective review of in situ and operando characterizations, in particular, the use of operando synchrotron-based X-ray techniques and in situ atomic-scale scanning transmission electron microscopy (STEM) in liquid/gas phases to advance our understanding of electrode−electrolyte interfaces at macro- and microscopic levels, which dictate the charge transfer kinetics and overall reaction mechanisms. The use of scanning electrochemical microscopy (SECM) enables direct probing of the local activity of electrocatalysts at the nanometer scale. In addition, differential electrochemical mass spectrometry (DEMS) and the electrochemical quartz crystal balance (EQCM) enable the simultaneous identification of multiple reaction intermediates and products for mechanistic studies of electrocatalyst selectivity and durability. We anticipate that continuous advances of in situ/ operando techniques and probes will continue to make significant contributions to establishing structure/composition-reactivity correlations of electrocatalysts at unprecedented atomic-scale and molecular levels under realistic, real-time reaction conditions.

KEYWORDS: Operando, In situ, Electrocatalysis, Synchrotron X-ray, STEM, SECM, EQCM, DEMS

# 1. INTRODUCTION

Understanding the structure and composition of energy materials and their reaction mechanisms is crucial to the design of high-performance electrocatalysts for renewable energy technologies, particularly fuel cells and electrolyzers. Over the past few decades, advanced analytic methods have dramatically shaped/changed our understanding of materials design and electrocatalytic processes. The use of modern in situ/operando techniques has provided extraordinarily valuable insights for establishing structure/composition-reactivity cor-relations under real-time reaction conditions.<sup>[1,2](#page-32-0)</sup> In this review, we focus on the technological developments and their applications to electrocatalysts of X-ray-based methods and transmission electron microscopy (TEM) for structural and chemical analysis, scanning electrochemical microscopy (SECM) for the direct probing of local activity, and differential electrochemical mass spectroscopy (DEMS) and electrochemical quartz crystal microbalance (EQCM) for dynamic electrochemical studies. We define the terms, "in situ" and "operando", as follows: In situ (Latin, on site/in position) refers to the real-time measurement of an (electro)catalytic process under relevant reaction conditions versus the conventional ex situ (Latin, off site) measurements performed before and after reactions. In situ methods need to take into account the operating conditions of instruments (e.g., low temperature, high vacuum), which often deviate from the optimal (electro)catalytic reaction conditions (e.g., elevated temperatures and pressures under a gas/liquid environment). Operando (Latin, operating/working) refers to the measurement of a working (electro)catalyst under the same reaction conditions as those inside a catalytic reactor or an actual operating device. Operando methods minimize the exper-

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imental gap in measurement conditions between instrumental requirements and realistic (electro)catalytic reactions. The term "operando spectroscopy" was first proposed by Bañares, Weckhuysen, and others in 2002 as an alternative for "in situ spectroscopy" to emphasize the importance of maintaining working conditions of (electro)catalysts in a regular reactor/ device.<sup>[4](#page-32-0),[5](#page-32-0)</sup> The term in situ has been widely used by many researchers for the past few decades since the real operando measurements often required considerably more complexity in device design and are just emerging in the literature. This review serves as an overview of the development of in situ/ operando techniques in electrocatalysis and highlights the revolutionary transition toward operando approaches.

Synchrotron-based X-ray spectroscopy can provide a great deal of information for identifying the electronic structure and coordination environment of active sites. Ex situ X-ray absorption spectroscopy (XAS) has been widely used to establish a baseline understanding of the structure−activity correlations for electrocatalysts in fuel cells, water splitting, metal-air batteries,  $CO<sub>2</sub>$  reduction, and many other energyrelated applications, including precious-metal-based alloys, nonprecious-metal-based perovskites, spinel oxides, Co/Fecontaining N-doped carbons, and others. The significant penetration depth and high flux of high-energy X-rays enable the operando study of electrode−electrolyte interfaces under real-time electrochemical conditions. In situ/operando XAS has been widely used to study valence changes and bonding information on Pt-based catalysts for the ORR in fuel cells and methanol/ethanol oxidation in both homemade half-cell devices and practical membrane electrode assembly (MEA) test stations. Recently, we have employed operando XAS to study the synergistic effects of bimetallic and trimetallic oxide catalysts in alkaline fuel cells, under both steady state and potentiodynamic conditions. In addition, operando surfacesensitive X-ray scattering techniques, in particular, crystal truncation rods (CTR) and X-ray standing wave (XSWs), enable an atomic-scale understanding of the electrode− electrolyte interface. Special attention is paid to combining multiple X-ray based techniques to provide complementary chemical and structural information under similar reaction conditions.

Newly developed aberration-corrected scanning/transmission electron microscopes (S/TEM) equipped with electron energy loss spectroscopy (EELS) and energy dispersive X-ray spectroscopy (EDX) can provide atomic-scale spatial resolution with an unprecedented level of compositional information and local electronic structure of electrocatalysts. S/TEM equipped with EELS and EDX have been employed to characterize a variety of novel materials, including core−shell or surface-modified nanoparticles, shape-controlled nanocrystals, hollow/spongy-structured nanoparticles (with complex interior architectures), and atomically dispersed transition metal atoms on substrates. S/TEM has been widely used to capture the morphological, chemical, and atomic structures of nanocatalysts, which may be missed in bulk X-ray analysis, leading to the discovery of novel nanomaterials and to a more rational design of catalyst structures. In particular, identicallocation TEM (IL-TEM) can probe the facet and shape evolution and catalyst degradation mechanisms precisely at the same location before and after electrochemical tests.

It has been a longstanding challenge in TEM to investigate catalytic reactions in situ in gas or liquid environments due to the required high vacuum conditions. Newly developed in situ

environmental TEM methods are enabling the study of the real-time evolution of catalysts in electrochemical reactions with unprecedented spatial, temporal and energy resolutions. The majority of energy-related reactions, particularly electrochemical processes, occur at the electrode−electrolyte interface in solution phase. In situ electrochemical liquid cell TEM is well positioned to provide unique insights on interfacial electrocatalytic process with nanometer-scale (or higher) resolution, as well as compositional and chemical bonding information during the reaction process. Our group has used an in situ liquid cell TEM to study the electrochemical behavior of Pt in liquids and dynamic de/lithiation processes of LiFePO<sub>4</sub> during dis/charge cycles. These fast-evolving techniques have been employed to understand electrochemical deposition, nanomaterial synthesis and structural evolution, corrosion chemistry, and diffusion in liquids. The environmental TEM, under controlled temperature and gas environments, enables the in situ study of the dynamical behavior of nanomaterials at high temperatures and pressures up to and including atmospheric pressure. In situ heating TEM can yield new information and insights into the thermal stability of Ptbased catalysts, surface reconstructions and elemental distribution of Pt-based catalysts, as well as shape and facet evolution of metal nanoparticle catalysts in variable gas environments. We recently employed in situ heating TEM, together with in situ heating XRD, to quantify the degree of ordering in  $Pt_3Co$  nanoparticles, and study the (order/ disorder) phase transition and morphological changes during the high-temperature annealing process. Coupled with EELS and mass spectrometry, in situ gas-phase TEM can simultaneously provide compositional and bonding information and online detection of reaction productions with high sensitivity and selectivity.

SECM can directly measure electrochemical activity, kinetics, and adsorbate coverages with nanometer-scale spatial resolution, sufficiently high for single nanoparticle studies. It is naturally an in situ and quantitative technique specialized for interfacial studies of electrochemical processes. Utilizing the full potential of SECM requires a fundamental knowledge and understanding of electrochemistry, through understanding of the technique and its limitations, and attention to design of the experiment and experimental details. However, when appropriately and successfully applied, SECM can be an invaluable tool for fundamental studies of electrocatalysis because the electrochemical processes of interest are not inferred from other measurables but rather are directly probed.

DEMS is a very sensitive analytical technique with a detection limit of ∼0.1 nmol, capable of analyzing even submonolayers of organic adsorbates and volatile reaction products. The development of DEMS requires the consistent innovation in the architectures of DEMS cells, such as the conventional cell, thin-layer flow cell, dual thin layer flow cell, and capillary inlet. DEMS has been used to elucidate the mechanism of CO oxidation for designing effective COtolerant electrocatalysts as well as the reaction pathways of methanol, ethanol, and formic acid oxidation for fuel cell applications. DEMS systems have been coupled to ATR-FTIR for detecting intermediate species and with SECM for combinatorial catalyst screening. In particular, the doubleband-electrode channel flow DEMS is akin to the rotating ring disk electrode (RRDE) system enabling the simultaneous detection of a variety of volatile products during methanol

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Figure 1. Operando X-ray absorption spectroscopy for electrocatalysis. (A) Schematic of an operando XAS electrochemical cell with a working electrode (WE, catalyst on carbon paper) and counter electrode (CE, carbon rod) immersed in 1 M KOH. CHESS stands for Cornell High-Energy Synchrotron Source. (B) Operando XANES spectra at the Mn K-edge of Mn−Co−Fe trimetallic spinel oxides (solid lines) and the reference manganese oxides (dashed lines). Inset shows the calculated average metal valence as a function of potential based on the linear combination fitting using XANES spectra of reference metal oxides. (C) Periodic changes of the relative X-ray intensities  $(\ln(I_1/I_2))$  at 6553 eV (Mn K-edge), 7225 eV (Co K-edge), and 7131 eV (Fe K-edge), respectively, as a function of the cyclic potential. The upper inset shows the corresponding CV at 1 mV/s from 0.15 to 1.2 V vs RHE. (D) Operando EXAFS of Mn at a series of applied potentials with no phase correction. The Mn−O bond distance increased as the applied potential decreased from 1.2 to 0.2 V. (E−F) Deconvolution of in situ HERFD XAS of Pt islands/Rh(111) in 0.01 M HClO<sub>4</sub> showing the signatures of  $H_{ad}$  and O/OH<sub>ad</sub> and changes in their peak areas as a function of applied potentials. (G) Schematic of a laboratory-made PEMFC for in situ QXAFS measurements. (H) Time profiles of coordination numbers of Pt−O bonds of Pt/C and Pt3Co/C during voltage cycling between 0.4 and 1.0 V. (I) Left: Relationships between enhancements in the rate constants during the voltage cycling from 1.0 to 0.4 V in N<sub>2</sub> and specific power density (triangles, circles, and diamond signs refer to CN<sub>Pt−D</sub>, CN<sub>Pt−Pt</sub>, and charge transfer processes, respectively). Right: Relationship between Pt L<sub>III</sub>-edge XANES white line amplitude of Pt and Pt-bimetallic cathode catalysts at 0.4 V in N<sub>2</sub> and specific power density. (A-D) are reprinted with permission from refs [7](#page-32-0) and [8.](#page-32-0) Copyright by the authors 2019 American Chemical Society. (E–F) are reprinted with permission from ref [25.](#page-32-0) Copyright 2012 American Chemical Society. (G−H) are reprinted with permission from refs [32](#page-33-0), [33.](#page-33-0) Copyright 2012, 2014 American Chemical Society.

oxidation with a high collection efficiency and short response time.

The EQCM enables the study of the dynamic electrochemical processes at the electrode surfaces with a mass resolution better than 10 ng/ $\rm cm^2$ . It has the capability of detecting the mass changes through both faradaic and nonfaradaic process, in addition to the electrochemical signals. It has been used for fundamental studies of films and membranes deposited on electrodes and has provided great insights of potential dependent ion and solvent dynamics. In particular, the EQCM has been employed to study the catalyst/electrolyte interfacial processes, such as catalyst deposition and degradation mechanism(s), the adsorption/

desorption of intermediates on electrocatalysts, and the ionic movement in polymer membranes.

In this illustrative (though not comprehensive) review, we present the working principles of the above-described techniques followed by selected examples from our work as well as the work of others.

# 2. OPERANDO SYNCHROTRON-BASED X-RAY **TECHNIQUES**

The high penetration depth and high intensity of synchrotronbased high-energy X-rays enable the operando study of electrochemical interfaces under real-time reaction conditions.[1](#page-32-0)−[3](#page-32-0) In this section, we will present a select review of operando X-ray methods in electrocatalysis for energy-related

electrochemical reactions with an emphasis on X-ray absorption spectroscopy (XAS) and surface-sensitive X-ray scattering and spectroscopic techniques. Operando XAS has been extensively used to provide spectroscopic information on electronic structures. Two types of operando surface-sensitive X-ray techniques, including crystal truncation rods (CTRs) and X-ray standing waves (XSWs), will be discussed in detail to highlight their contributions in resolving the structural changes at electrode/electrolyte interfaces at the atomic scale. In addition, the application of in situ ambient-pressure X-ray photoelectron spectroscopy (AP-XPS) will be introduced to elucidate the nature of reaction intermediates, such as Ocontaining species during the ORR in fuel cells. The advantages of incorporating multiple X-ray techniques into one experiment will be given particular attention due to their capability of providing tremendously valuable complementary information. Two examples will be highlighted, including the coupling of XSWs and AP-XPS and the coupling of XAS and small-angle X-ray scattering (SAXS).

2.1. Operando X-ray Absorption Spectroscopy. In Xray absorption spectroscopy (XAS), one measures the changes in X-ray absorption or fluorescence as a function of X-ray photon energy. The X-ray energy is sufficiently high to excite core-level electrons to higher-level unoccupied states. The Xray absorption process follows the Beer−Lambert law, A =  $log(I_0/I_1) = \mu z$ , where  $\mu$  is the absorption coefficient and z is the sample thickness. An XAS can be divided into three regions: the pre-edge region, X-ray absorption near-edge structure (XANES), and extended X-ray absorption fine structure (EXAFS). The XANES region extends from the edge/near-edge to about 50 eV above the adsorption edge, which reveals information pertaining to the position/ occupancy of orbitals, site symmetry, and electronic configuration (i.e., oxidation state). The EXAFS region is energetically further away above the edge, starting at around 50 eV and extending up to 1000 eV. The dominant features are the oscillations in the absorption coefficient, with a magnitude that is typically less than 15% of the edge intensity. The oscillations originate from the interference between the outgoing and backscattered waves of photoelectrons ejected from the absorbing atoms. The frequency and amplitude of the EXAFS oscillations can provide valuable information about near-neighbor distances, coordination numbers, and identities. The EXAFS signal is dominated by single electron, single scattering events in which the plane wave approximation is valid, which can result in determination of interatomic distances and coordination numbers with an accuracy of  $\pm 0.02$  Å and  $\pm 20$ %, respectively, given appropriate phase correction and fitting procedures. $1,2$  In contrast, in the interpretation of XANES, one must consider the dominant multiple scattering near the absorption edge and adapt a spherical photoelectron wave approximation, which requires rather complex theoretical modeling. A detailed analysis of the XANES and EXAFS spectra are beyond the scope of this review, and interested readers are encouraged to read our early review<sup>2</sup> as well as comprehensive reviews by Russell and others.<sup>3</sup>

Synchrotron-based XAS studies of electrocatalysts have provided tremendous information about their electronic structures, coordination environments, site symmetry, etc., which are particularly sensitive for distinguishing different elements in multimetallic metal oxides.<sup>[6](#page-32-0)</sup> The success of an operando XAS experiment relies on the customized design of

electrochemical cells suited for the target electrocatalytic reactions.[3](#page-32-0) Benefiting from its high X-ray intensity, broad energy range, and high energy resolution, synchrotron radiation has become the standard X-ray source for XAS measurements. XAS measurements are often performed in a transmission mode as shown in Figure  $1A^{7,8}$  The sample thickness needs to be optimized to yield the maximum change in the absorbance while not being too thick to minimize background absorption. A typical X-ray absorbance is in the range of 0.3−1.0, depending on the elements of interest and sample thickness and can be calculated in advance with a knowledge of the X-ray absorption cross sections. For electrocatalysts in powder or film forms, the total thickness of the electrolyte and catalyst support needs to be sufficiently thin to minimize background absorption and scattering while not being too thin to cause an undesirable iR-drop in the electrochemical signals, which is critical for high-quality XANES and EXAFS. The total thickness in the homemade cell in [Figure 1](#page-2-0)A was designed to be below 200  $\mu$ m with a catalyst layer of less than 50  $\mu$ m. The reference electrode (RE) was connected through a salt bridge to minimize the iR-drop in such a thin liquid-cell configuration (Figure  $1A$ ). It is essential to realize that X-rays can cause radiation damage to the catalysts, electrolytes, and other parts of the cell. Strategies, such as constantly flowing fresh electrolyte, lowering the incident intensity, and translating the X-ray illuminated area, can effectively mitigate such radiation damage. In cases of thick samples or low elemental concentration, XAS measurements can instead be performed in a fluorescence mode, in which the sample is often positioned at 45° relative to the incident X-ray beam,<sup>[8](#page-32-0)</sup> with the fluorescence detector placed perpendicular to the incident beam direction, to minimize the effects of Compton scattering.

Operando XAS has been extensively used to study changes in precious metal-based electrocatalysts for the oxygen reduction reaction,[9](#page-32-0)−[15](#page-32-0) nonprecious metal oxides for water oxida-tion,<sup>[15](#page-32-0)−[18](#page-32-0)</sup> and  $\overrightarrow{CO}$  oxidation<sup>[19](#page-32-0)</sup> among other electrocatalytic reactions. Recently, it has also been used to study metalcontaining N-doped carbon (M−N−C) electrocatalysts for the ORR in alkaline media[.20](#page-32-0)<sup>−</sup>[22](#page-32-0) We recently employed operando XAS to investigate the synergistic catalytic effects of a Co−Mn bimetallic spinel oxide catalyst. This material exhibited significantly higher ORR activity than both  $Co_3O_4/C$  and  $Mn<sub>3</sub>O<sub>4</sub>/C$  and outperformed commercial Pt/C catalysts in alkaline fuel cells.<sup>[6](#page-32-0)−[8](#page-32-0)</sup> Operando XANES was used to track/ monitor the oxidation state changes of Co and Mn not only under steady state (constant applied potential) but also under non-steady state (potentiodynamic, cyclic voltammetry) conditions.[7](#page-32-0) Rapid X-ray data acquisition, combined with a slow sweep rate in CV, enabled a 3 mV resolution in the applied potential, approaching a non-steady state. Changes in the Co and Mn valence states were synchronized and exhibited periodic patterns that tracked the cyclic potential sweeps. The non-steady state study suggested possible synergistic effects between Co and Mn, which may explain the superior activity of the  $Co_{1.5}Mn_{1.5}O_4/C$  electrocatalyst over the monometallic oxide counterparts. We further employed operando XANES and EXAFS to investigate the structural roles of a more durable Mn−Co−Fe trimetallic oxide under electrochemical con-ditions.<sup>[8](#page-32-0)</sup> As shown in [Figure 1B](#page-2-0), the XANES spectra of the Mn K-edge of Mn−Co−Fe oxides exhibit progressive changes in local electronic structures under various applied potentials (all potentials in this review are versus the reversible hydrogen

electrode (RHE) unless otherwise noted). The main absorption edge at around 6560 eV corresponds to the excitation of 1s core electrons to unoccupied 4p orbitals. The shoulder peak at ∼6553 eV shows a progressive shift to lower energies and an increase in peak intensity when applied potential decreased from 1.2 to 0.2 V, indicating the reduction of Mn at more negative potentials. The linear combination fitting analysis of Mn XANES spectra with pure Mn oxide references enabled quantifying changes in the average valences of Mn, Co, and Fe [\(Figure 1](#page-2-0)B, inset). The averaged Mn valences continued to decrease from 2.58 to 2.23 when the potential decreased from 1.2 to 0.2 V, while the Co valences decreased from 2.25 to 2.0 (meaning Co is fully reduced to  $CoO(II)$ ) when the potential decreased from 1.2 to 0.6 V and remained at 2.0 as the potential was further lowered to 0.2 V. However, the average Fe valence remained virtually unchanged at 3.0 over the entire potential range, indicating that Fe was chemically stable, even under a strong reducing environment. [Figure 1C](#page-2-0) presents the dynamic changes in the valence states of Mn, Co, and Fe during CV measurements. The changes in relative X-ray absorption intensity at characteristic energy values of Mn, Co, and Fe are used to represent the relative changes in metal valences. Mn and Co valences changed synchronically in a periodic fashion under applied potentials with intensity increases and decreases, corresponding to reduction and oxidation of those two elements, respectively. The highest and lowest X-ray intensities are marked by two boundary potentials of 0.28 and 1.12 V, respectively, which are fully consistent with the potentials separating oxidation and reduction currents (0.28, 1.12 V) (dashed lines in [Figure 1C](#page-2-0) inset). In sharp contrast, the oxidation state of Fe remained virtually constant during the CV. This shows that the fasttracking strategy, described here, is capable of accurately monitoring dynamic changes with an mV-level potential resolution. We thus propose a collaborative effort among Mn, Co, and Fe, in which Mn and Co serve as the synergistic coactive sites to catalyze the reduction of oxygen, resulting in the observed high electrocatalytic activity, while Fe works to maintain the integrity of the spinel structure, likely contributing to the remarkable durability of the catalyst. Additionally, operando EXAFS showed the changes in Mn−O interatomic distances under applied potentials [\(Figure 1](#page-2-0)D). The Mn−O atomic distance (no phase correction) increased from 2.23 to 2.58 Å as the potential decreased from 1.2 to 0.2 V. The longer Mn−O interatomic distance is consistent with a gradual decrease in the Mn valence. Similar changes in the Co−O distances occurred, while Fe−O showed no appreciable changes under all potentials, again confirming that Fe serves as the stabilizing element to help maintain the structural integrity of the spinel structure and enhance long-term durability.

In a conventional XAS experiment, the energy resolution is limited by the intrinsic lifetime broadening of the core hole. With high-energy resolution fluorescence detected (HERFD) XANES, it is possible to select one particular fluorescence decay channel and isolate the decay transition from a single orbital with a much longer lifetime, minimizing the energy broadening and sharpening the spectral features. HERFD XANES can achieve an energy resolution of 1−5 eV with the use of spherically bent single-crystal spectrometers, while a traditional solid-state detector generally has an energy resolution of 50−200 eV[.23,24](#page-32-0) [Figure 1](#page-2-0)E shows an operando HERFD XANES study of the surface oxidation of Pt nanoislands on  $Rh(111)$  in acid media by Nilsson et al.<sup>[25](#page-32-0)</sup> By selecting the Pt  $La_1$  fluorescence line at 9442 eV, the HERFD XANES of Pt  $L_3$  edge (2p-5d transitions) exhibited one sharp peak (blue) at ∼11566 eV and another broad peak (red) at ∼11568 eV, which were assigned to chemisorbed H and O/ OH on Pt surfaces, respectively. Quantitative analysis of those two peaks suggested a progressive increase in the O/OH coverage as the potential increased from 0.8 to 1.13 V, followed by the formation of Pt oxide at higher potentials. Concomitantly, the  $H_{ads}$  coverage experienced a subtle increase from 0.8 to 1.13 V, possibly influenced by the coexistence of O/OH<sub>ads</sub>, and then a significant decay as the potential was further increased to 1.6 V. Corresponding DFT simulations indicated that H and OH adsorption energies on Pt, and the predicted ORR activity, were strongly modulated by the strain and ligand effects of the Rh(111) substrate as well as the thickness and under-coordinated sites on the Pt islands. This HERFD XANES study was able to differentiate the adsorbed O-containing species  $(O/OH/H<sub>2</sub>O)$  from surface Pt  $\text{oxide,}^{26,27}$  $\text{oxide,}^{26,27}$  $\text{oxide,}^{26,27}$  $\text{oxide,}^{26,27}$  $\text{oxide,}^{26,27}$  and in general, provide much better energy resolution for XANES and EXAFS spectra to identify different oxidation states and chemical environments of electrocatalysts. Readers are referred to reviews by Glatzel, Bergmann, and others for detailed analysis of HERFD XAS measurments.<sup>2</sup>

A high-quality full XAS spectrum, including XANES and EXAFS, often takes half an hour to hours to acquire with a conventional monochromator, making it essentially impossible to track fast reaction dynamics. Besides the strategy of time scan at certain X-ray energy values described in [Figure 1C](#page-2-0), the Quick XAFS (QXAFS) approach can reduce the acquisition time to seconds or milliseconds, by using a microstepper to continuously scan the monochromator and an energy-dispersive monochromator.<sup>[3](#page-32-0)</sup> The high penetration depth of high energy synchrotron-based X-ray beams enable XAS as a unique technique to study fast reaction kinetics in realistic MEA devices. Early operando XAS studies of MEAs by Smotkin, Mukerjee, and others have provided valuable insights into the structural changes of catalysts during fuel cell operation, when compared to the widely used half-cell design.[28](#page-33-0)<sup>−</sup>[31](#page-33-0) [Figure 1G](#page-2-0) illustrates the experimental setup of in situ/operando time-resolved QXAFS for MEAs in PEMFCs with a  $Pt_3Co/C$  or  $Pt_3Ni/C$  cathode in transmission mode.[32](#page-33-0)−[35](#page-33-0) Pd/C catalysts were used in the anode, instead of the standard Pt/C, to ensure that the Pt signal was only from the oxygen cathode. A Nafion membrane coated with catalysts was assembled with gas diffusion layers (GDL) made of carbon paper, gasket, current collector, and graphite flow fields, in which a small hole was drilled to allow the X-ray to pass through with minimal background scattering ([Figure 1](#page-2-0)G). A voltage-step cycling process was applied from 0.4 to 1.0 V (oxidation process) and 1.0 to 0.4 V (reduction process), i.e., a potential-step chronoamperometry measurement, to simulate the repetitive power off/on processes, with rapid changes in cell voltages, during automotive operation ([Figure 1](#page-2-0)H). Time transient profiles of the coordination numbers of Pt−O  $(CN_{P_{t-0}})$  of Pt/C and Pt<sub>3</sub>Co/C were acquired at time intervals of 100 ms during voltage cycling between 0.4 and 1.0 V. In the oxidation process (0.4−1.0 V), both Pt/C and Pt<sub>3</sub>Co/C showed an increase in  $CN_{Pt-O}$ , corresponding to a partial dissociation of Pt−Pt bonds and formation of Pt−O on Pt surfaces, while  $Pt_3Co/C$  showed a considerably smaller variation in  $CN_{Pt-O}$  than Pt/C. In the reduction process (1.0 to 0.4 V), similar smaller variations were observed for  $Pt_3Co/$ C. Fitting the time transients to exponential decay functions

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Figure 2. Operando surface-sensitive X-ray scattering and coupled structural and spectroscopic techniques. (A) Schematic of the experimental setup for operando X-ray reflectivity of SrTiO<sub>3</sub> during photoelectrochemical water splitting in alkaline media. (B) Structure factor of 00L CTR of SrTiO<sub>3</sub> in air (blue), in 0.1 M NaOH at open circuit before (black) and after (red) electrochemical training (positive bias). "r.l.u." stands for reciprocal lattice units. Blue solid line is the best nonlinear least-squares fit to the CTR of SrTiO<sub>3</sub> in air, using the TiO<sub>2</sub> double-layer model. (C) JDFT structure for SrTiO<sub>3</sub> surface before and after training. Yellow spheres are Sr, red are O, blue are H, and silver are Ti atoms. Green, red, and blue density contours represent electron, O, and H density, respectively. (D) Depiction of the generation of an X-ray standing wave (XSW) by Bragg reflection from a Pt/C-layered synthetic microstructure.  $k_0$  and  $k_R$  refer to the wave vectors of incident and reflected plane waves, respectively, and Q is the momentum transfer. (E) Reflectivity profile and normalized fluorescence yields for I and Cu for a Pt/C LSM with an electrodeposited layer of copper and adsorbed iodide layer. Inset: Depiction of the location of the I and Cu layers on the Pt/C LSM. (F) Configuration of XSW studies of cation distribution on poly-Fe<sub>2</sub>O<sub>3</sub> on Si/Mo LSM and relative XPS intensity of Na and K as a function of the incident angle. (G) Schematic of an AP-XPS setup for a PEM fuel cell and a snapshot of the surface adsorbates on Pt surface at 0.95 V (red, blue, and cyan circles refer to H<sub>2</sub>O<sub>ad</sub>, OH<sub>ad</sub>, and  $O_{ad}$ , respectively). Calculated coverages of adsorbed O-containing species as a function of computed electrochemical potentials. (H) Upper panel: Schematic representation of the combined SAXS and XAS setup; lower left panel: impact of the electrochemical potential on the Pt/C nanoparticle PSDs, derived from the fitting of operando SAXS curves. Lower right panel: Evolution of Δμ, calculated from operando XANES, as a function of oxide coverage derived from CV profiles. (A−C) are reprinted with permission from ref [50](#page-33-0). Copyright by the authors 2016 American Chemical Society. (D−E) are reprinted with permission from ref [60.](#page-33-0) Copyright by the authors 1990 American Association for the Advancement of Science. (F) is reprinted with permission from ref [63.](#page-34-0) Copyright 2014 Springer Nature. (G) is reprinted with permission from ref [69.](#page-34-0) Copyright 2013 Springer Nature. (H) is reprinted with permission from ref [75.](#page-34-0) Copyright 2016 American Chemical Society.

(solid lines in [Figure 1](#page-2-0)H) demonstrated that, while  $Pt_3Co$  $(0.16 \text{ s}^{-1})$  and Pt/C  $(0.13 \text{ s}^{-1})$  had similar rate constants when the voltage increased from 0.4 to 1.0 V,  $Pt_3Co$  exhibited a much larger rate constant  $(0.70\,\,\mathrm{s}^{-1})$  than Pt/C  $(0.15\,\,\mathrm{s}^{-1})$  in the reduction process. [Figure 1](#page-2-0)I (left plot) shows, in the reduction process, the significant enhancement in rate constants of CN<sub>Pt−O</sub> (triangles) and CN<sub>Pt−Pt</sub> (circles) extracted from QXAFS and charge transfer extracted from the current− time profile (diamond signs), which follow the order:  $Pt_3Co/C$ > Pt<sub>3</sub>Ni/C  $\gg$  Pt/C. This is fully consistent with the observed trends in their ORR activities in PEMFCs. Such a correlation indicates that the rate constant of  $CN_{Pt-O}$  is closely related to the desorption rate of oxygen on Pt surfaces and, thus, the reaction kinetics of Pt−O breaking during the ORR. Additionally, the white line intensity at 0.4 V reflects the density of unoccupied Pt 5d bands, which are directly related to the oxidation state of Pt [\(Figure 1](#page-2-0)I, right plot). The descending order in white line intensity,  $Pt/C \ll Pt_3Ni/C$  < Pt<sub>3</sub>Co/C, suggests a higher-level electron filling in those unoccupied states accompanied by a downshift in their 5dband centers. This observation is consistent with early reports by Stamenkovićand Markovićon the Volcano-type correlation between d-band center of Pt-3d metal alloys and their observed ORR activity in acidic media.<sup>[36,37](#page-33-0)</sup> The time-resolved QXAFS method described here provides a unique opportunity to elucidate the reaction kinetics of the ORR in MEAs with second or even millisecond-level time resolution and shows the promise to understand the dynamic structural changes under an oxygen environment at high oxidizing potentials. Additionally, although XAS generally provides bulk information, surface chemical information can be collected as well, either through detecting Auger electrons or by employing a grazing incidence geometry. A particular example of iodine adsorption on Ag(111) can be found in early work with surface EXAFS by Citrin.<sup>[38](#page-33-0)</sup> As a complementary technique to resolve the light elements in water inside an MEA, rather than the X-ray sensitive heavy elements, operando neutron scattering is particularly useful. Neutron scattering is very sensitive to hydrogen due to its large neutron scattering cross section, enabling the investigation of the dynamics of water flooding at the anode and water depletion at the cathode, contributing to the understanding of water management, which is critical for enhancing the performance of alkaline/anion exchange membrane fuel cells (AEMFCs).<sup>[39](#page-33-0)</sup> Moreover, since H and D have opposite signs in their neutron scattering lengths, one can enhance the contrast of electrolyte species by working with "compensated water" in which the neutron scattering from water is effectively eliminated.

In summary, in this section, we have presented recent progress in operando XANES and EXAFS in both homemade half cells as well as realistic MEA devices. We are confident that future developments will yield additional valuable insights for resolving the subtle changes in chemical environment and electronic structures on electrocatalyst surfaces and the tracking of fast kinetics under reaction conditions.

2.2. Operando Surface-Sensitive X-ray Scattering and Spectroscopic Techniques. Bulk X-ray scattering or diffraction has revolutionized the field of crystallography and developed into a standard technique for resolving crystal structures across the physical and life sciences. The use of Xray scattering for determining surface atomic structures at the electrode−electrolyte interfaces was initially performed to investigate Pb underpotential deposition (UPD) on Ag(111) by Melroy et al.<sup>40</sup> and Cu UPD on Pt $(111)$  in our early work.<sup>[41](#page-33-0)</sup> Later, the first study of interfacial water structure at an electrified Ag(111) electrode was reported by Toney et al. using surface X-ray scattering in the  $1990s<sup>42</sup>$  $1990s<sup>42</sup>$  $1990s<sup>42</sup>$  Over the past three decades, operando surface X-ray diffraction (SXRD) has emerged as a powerful tool to interrogate the atomic-scale surface structural changes during lattice reconstruction/ relaxation,<sup>[43](#page-33-0)–[45](#page-33-0)</sup> metal deposition/dissolution,<sup>[46](#page-33-0)</sup> surface roughening/corrosion, $47$  molecular/ionic adsorption, and interfacial water structures under electrochemical conditions.<sup>[42](#page-33-0)</sup> SXRD measures the crystal truncation rods (CTRs) including both specular CTR, where the momentum transfer, Q, is along the surface normal direction, and off-specular (nonspecular) CTR in which the Q has additional in-plane contributions. The specular CTR (also referred as extended X-ray reflectivity) is particularly sensitive to the short-range ordering extending from the surface to the electrolyte along the surface normal direction. Combined with the off-specular CTR, the full picture of the three-dimensional surface structural changes can be identified, relative to the underlying bulk crystal surface. For instance, specular X-ray reflectivity of mica(001) (a layered alumino-silicate) showed that the first layer of water has a strong interaction with the oxide surfaces and an oxygen density twice as high as that of bulk water. $48$  A strong oscillation in the oxygen density, within 10 Å in the direction normal to the oxide surfaces, suggests an interfacial ordering of water. An in-depth discussion of CTR studies of water/oxide interfaces on metal and oxide surfaces can be found in a recent review.[49](#page-33-0) For a comprehensive review on SXRD and CTR measurements, the interested readers are referred to reviews by Lucas and others. $43-46$  $43-46$  Here, we present selective examples to illustrate the unique capabilities of the SXRD and CTR techniques and briefly discuss other coupled structural and spectroscopic X-ray techniques.

We recently employed operando X-ray reflectivity to measure the specular CTR to study the electrochemically induced surface reconstruction of single-crystal SrTiO<sub>3</sub> [\(Figure 2A](#page-5-0)).<sup>[50](#page-33-0)</sup> n-Type  $SrTiO<sub>3</sub>$  is particularly active for photocatalytic water splitting in alkaline media under UV light illumination. The area X-ray detector, optimized for high-energy X-rays (30 keV), enables the extraction of CTR intensities from the background signals (such as diffuse scattering). Absolute X-ray structure factors,  $|F|^2$ , were obtained at the SrTiO<sub>3</sub>(001)electrolyte interphases under precise control of electrochemical conditions ([Figure 2](#page-5-0)A). The CTR measurements of  $SrTiO<sub>3</sub>$ exhibited significant structural changes when immersed in 0.1 M NaOH and trained under a positive potential [\(Figure 2B](#page-5-0)). Ab initio joint DFT (JDFT) calculations employed in this work provided an accurate description of the electron density of both the solid surfaces and contacting liquid, which enabled a direct comparison of calculated and measured  $|F|^2$  values with no adjustable parameters. Immersing  $SrTiO<sub>3</sub>$  in 0.1 M NaOH (untrained) yielded a relaxed  $1 \times 1$  double-TiO<sub>2</sub>-terminated structure, based on JDFT best fitting results (black curve, [Figure 2B](#page-5-0)). Training the  $SrTiO<sub>3</sub>$  in 0.1 M NaOH under 0.8 V vs Ag/AgCl (∼1.8 V vs RHE) induced a significant change in |  $Fl^2$  values, especially between the 001 and 002 peaks (red curve, [Figure 2B](#page-5-0)). JDFT calculations indicated that the most likely structural change was the electrochemically induced formation of an anatase-like  $(Ti<sub>2</sub>O<sub>2</sub>)$  structure [\(Figure 2C](#page-5-0)). The anatase-like structure at the surface is oxygen deficient, capped by an OH adsorption layer, which is accompanied by the dissolution of at least one layer of Sr atoms from the surface, leaving a triple  $TiO<sub>2</sub>$  termination. This anatase-like surface structure, with an underlying  $SrTiO<sub>3</sub>$  host possesses an optimal biaxial tensile strain and has a smaller band gap than  $SrTiO<sub>3</sub>$ , which is better matched to the solar spectrum and explains the 2−3 fold increase in water splitting activity after electrochemical training, relative to the untrained counterpart. Another benchmark OER catalyst,  $RuO<sub>2</sub>$  single crystals, were investigated by in situ X-ray off-specular oxygen CTR, first by Lister and  $N_{\text{agy}}^{51,52}$  $N_{\text{agy}}^{51,52}$  $N_{\text{agy}}^{51,52}$  and then by Shao-Horn, systematically with various crystal orientations in acidic media.<sup>53,[54](#page-33-0)</sup> The position and configurations of O-containing intermediate species (e.g.,  $H_2O$ , OH, O, OOH, etc.) at different Ru sites were assigned based on the best fitting from DFT simulations. A key intermediate of OOH species on coordinatively unsaturated Ru sites was identified and stabilized by OH<sub>ad</sub> on neighboring bridge sites. The deprotonation of the OH and the removal of OO were proposed as the rate-determining step. It should be noted that the presence of H atoms is only speculated based on changes in the Ru−O bond lengths in CTR measurements and best fitted configurations from DFT simulations since the X-ray scattering of H atoms is very weak. In addition to the extensive CTR analysis under constant applied potentials, it is possible to investigate electrochemical reaction kinetics in potentiodynamic measurements. By monitoring the scattered X-ray intensity at selective reciprocal lattice positions, the surface structural changes can be directly

correlated to electrochemical signals during CV cycles. [55,56](#page-33-0) This time-resolved CTR method has provided valuable insights into the dynamic CO adsorption on single-crystal metal or metallic alloy surfaces<sup>57,[58](#page-33-0)</sup> and the stability of bimetallic alloys (e.g.,  $Pt_3Ni$ ) under oxidative potentials in acid.<sup>[59](#page-33-0)</sup>

While SXRD serves as a powerful technique for probing structural changes at/near the surface, operando X-ray standing waves (XSWs) provide atomic-scale insights on the cross section of solid−liquid interfaces with depth resolution and can simultaneously resolve elemental information when coupled with fluorescence detection or photoelectron spectroscopy. XSWs are generated from the interference between incident Xray and strong reflected X-ray beams at the Bragg condition (Figure 2D).  $60-63$  The periods of XSWs can be tuned with  $\sim$ <sup>-[63](#page-34-0)</sup> The periods of XSWs can be tuned with layered synthetic microstructures (LSMs) of high-Z/low-Z elements. The scale of LSMs can be adjusted from Å to nm to meet the spatial resolution requirements of experiments, and the incident angle of XSWs serves as a "built-in ruler" which can provide an Å-level vertical resolution. As the incident beam angle is scanned across the strong Bragg reflection, the XSWs scan different atomic layers at the surface, across the electrochemical double layer (EDL), and further extend to the solution layer ([Figure 2](#page-5-0)D). Our early studies employed operando XSWs, combined with X-ray fluorescence detection, to investigate the solid/liquid interfaces on Pt/C LSMs under electrochemical conditions.<sup>[60](#page-33-0)</sup> The XSW experiments involved the adsorption of iodide followed by the electrodeposition of copper onto the Pt/C LSMs. As shown in [Figure 2E](#page-5-0), the maxima in the copper fluorescence yield occurred at a higher incident angle, relative to that of iodide, suggesting that the copper layer is closer to the Pt surface  $(0.7 \text{ Å})$  than that of the iodide (4.0 Å). Given that the iodide layer was deposited prior to the copper electrodeposition, this provided unambiguous evidence that the iodide layer was displaced by the electrodeposited copper. Since the XSWs can extend well beyond the surface adsorption layer, theoretical fits of the iodide fluorescence yield profiles unveiled a noticeable contribution from iodide in the diffuse layer.<sup>[60](#page-33-0)</sup> The observed depth-resolved iodide distribution can be regarded as a phase transition where iodide in the electrolyte, following a concentration gradient in the diffuse layer, is incorporated into an ordered crystalline iodine ad-lattice on the Pt surface. Additionally, XSWs have been coupled with surface EXAFS to investigate the Cu electrodeposition on Pt  $(111)$  in the presence of halides.<sup>61</sup> Recently, Fadley et al. coupled operando XSWs with ambient pressure X-ray photon emission spectroscopy (AP-XPS) to investigate the cation distribution in alkaline media on polycrystalline Fe<sub>2</sub>O<sub>3</sub> films (~6 Å surface roughness) deposited on Si/Mo LSMs ([Figure 2](#page-5-0)F).<sup>64</sup> It provided direct evidence that  $Na^+$  was about 4 Å closer to the oxide surface than  $Cs^+$  given that the relative XPS intensity of  $Na^+$  showed a 0.04° positive shift in the incident angle. This observation offered supporting evidence for the cation effect on the ORR activity proposed by Stamenković et al. The lower ORR activity of  $Pt(111)$  in NaOH, relative to CsOH, was ascribed to the stronger interaction of  $Na<sup>+</sup>$  with  $OH<sub>ads</sub>$ , which could inhibit the movement of reactants and possibly block the availability of Pt sites.<sup>[65](#page-34-0)</sup> Similar cation effects on the HOR activity and CO oxidation kinetics in alkaline media were investigated by Koper et al. $^{66,67}$  $^{66,67}$  $^{66,67}$  The limitation of such a study relies on the generation of an ultrathin liquid layer (∼1 nm) so that soft X-rays (∼1 keV) can penetrate it, enabling AP-XPS measurements. In comparison, our previous operando XSWs

utilized a regular thick electrolyte owing to the use of a highenergy X-ray  $(9.2 \text{ keV})$ .<sup>[60](#page-33-0)</sup> Nonetheless, the operando XSWs study described here enables the use of polycrystalline oxide films deposited on LSMs, which clears the restriction of using single-crystal metals or oxides and can have promising and broad applications for studying the EDL on realistic nanosized catalyst surfaces.

Besides the aforementioned surface-sensitive structural X-ray scattering studies, it is worthwhile to highlight recent progress on spectroscopic X-ray techniques and other coupled X-ray techniques, enabling the measurement of ejected photoelectrons. Traditionally, X-ray photoelectron spectroscopy (XPS) requires ultrahigh vacuum (UHV) for soft X-ray to probe surface chemical species. Watanabe et al. developed the electrochemical XPS (EC-XPS) to transfer Pt electrodes, in contact with electrolyte under applied potential, to a UHV chamber and subsequently freeze-evacuate the bulk electrolyte, so that only surface adsorbates remained.<sup>[68](#page-34-0)</sup> Although this EC-XPS method provides information for identifying and quantifying different O-containing species, subtle changes are likely to occur during the sample transfer and may not (and likely does not) reflect real-time changes in the electrolyte. Instead, in situ ambient-pressure XPS (AP-XPS) has been recently employed to track the dynamic evolution of Ocontaining intermediates at an electrode surface under a gas pressure of  $(1-100 \text{ Torr})$ , close to reaction conditions.<sup>[69](#page-34-0),[70](#page-34-0)</sup> As shown in [Figure 2G](#page-5-0) (left), the electrochemical AP-XPS setup simulates a PEMFC operating in  $H_2$ −O<sub>2</sub> mode with Pt/C as both the anode and cathode, which are separated by a Nafion membrane. The gas cell of the AP-XPS is filled with O<sub>2</sub> at  $∼1$ Torr, and the Pt/C cathode is hydrated by the  $H_2O$  migrating from the humidified hydrogen anode. From the O 1s spectra, two types of OH<sub>ads</sub> were identified at 0.9 V vs SHE. One located at 531 eV is ascribed to hydrated  $OH<sub>ads</sub>$  interacting strongly with  $H_2O_{ads}$  through an H-bonded network, and the other at ∼530 eV is nonhydrated OHads interacting weakly with  $O_{ads}$  ([Figure 2](#page-5-0)G, middle upper inset). Combined with DFT and Monte Carlo simulations, the coverage of  $H_2O_{ad}$ ,  $OH_{\text{ad}}$  and  $O_{\text{ad}}$  was calculated as a function of computed electrochemical potentials vs SHE [\(Figure 2](#page-5-0)G, right).  $H_2O_{ad}$  is the dominant species at  $E < 0.65$  V, while OH<sub>ad</sub>, generated from H<sub>2</sub>O oxidation, surpasses  $H_2O_{ad}$  as the main adsorbed species at  $E > 0.78$  V. The coverage of  $O_{ad}$  significantly increases at  $E > 0.9$  V due to the further oxidation of OH<sub>ad</sub>. The total coverage of  $OH$  and  $H<sub>2</sub>O$  is similar at 0.85 V and sums up to ∼0.6, close to the expected maximum two-thirds coverage for coadsorbed  $H_2O_{ad}$  and  $OH_{ad}$  in a hexagonal planar configuration on the Pt surface.<sup>[71](#page-34-0)</sup> The nonhydrated  $OH<sub>ad</sub>$ , at potentials close to the reversible potential of the ORR, may enhance the OH<sub>ad</sub> activity due to its weak interaction with  $H_2O_{ad}$ , but possibly give rise to an increased energy barrier for the activation of  $O_2$  to  $HO_{2(ad)}$  due to the poor proton conducting pathways at high pH. However, one needs to remain cautious about the insights from AP-XPS since the  $O<sub>2</sub>$  gas pressure used here is still three orders of magnitude lower than the typical atmospheric operating pressure and the relatively high humidity (50−100%) in present fuel cell tests.

X-ray spectroscopy and scattering have evolved into two well-established categories of techniques, capable of providing complementary chemical and structural information under electrochemical reaction conditions. Aiming for a more complete picture of the electrocatalysis processes, coupling two or multiple X-ray techniques can take advantage of both spectroscopic and structural information acquired simultaneously so as to optimize the use of precious synchrotron beam time.<sup>[72](#page-34-0)</sup> Previously, combining XSWs with XRF or XPS provided chemical information on electrode/electrolyte interfaces with atomic-scale depth resolution [\(Figure 2](#page-5-0)D−F). Here, we will illustrate another representative example of combining small-angle X-ray scattering (SAXS) with XAS to illustrate the capability of coupled X-ray techniques for elucidating the degradation mechanism of fuel cell electrocatalysts. Early studies by Meyer et al. employed in situ SAXS to quantify the particle size distributions (PSDs) and geometric surface area of Pt nanoparticles during potential cycling.<sup>73,[74](#page-34-0)</sup> They found that higher anodic potentials and longer time periods at high potentials (square/trapezoidal vs triangular potential profiles) would lead to a faster particle size growth, corresponding to a higher oxide coverage after potential cycles. They proposed that the primary degradation mechanism was dissolution of small particles and reprecipitation of dissolved Pt onto larger particles (i.e., Ostwald ripening). Recently, a coupled SAXS and XAS study revisited the degradation process of Pt nanoparticle fuel cell catalysts during potential cycles (Figure  $2H$ ).<sup>[75](#page-34-0)</sup> The experiment included the setups for both XAS and SAXS on the same translation table, enabling a facile switch between XAS and SAXS modes within 2 min. [Figure 2](#page-5-0)H (lower left) exhibits the SAXS measurements of PSDs of Pt nanoparticles after potential cycles between 0.5 and 1.5 V vs RHE at 50 mV/s. The average particle sizes grew from 2.4 nm with a narrow PSD to 3.4 nm with a growing tail of larger particles after 250 cycles, following the Ostwald ripening growth mechanism. The surface area calculated from SAXS suggested a 30% loss after 250 cycles, which is consistent with the electrochemical surface area (ECSA) measured from CV profiles. In addition to the SAXS study, the XANES studies provided spectroscopic information on the oxidation state and coordination environment of Pt nanoparticles under the same reaction conditions. The difference in white line intensity of XANES Pt  $L<sub>3</sub>$  (often referred to as " $\Delta \mu$ " by Mukerjee and Ramaker et al.) can reflect subtle changes in density of unoccupied Pt d orbitals.<sup>76</sup> As shown in [Figure 2H](#page-5-0) (lower right), as the particles grow during 0−250 potential cycles, there is a decrease in  $\Delta \mu$  values, suggesting a lower density of unoccupied Pt d orbitals and thus a less oxidized surface, which is consistent with the lower oxide coverage estimated from CV profiles. Larger Pt nanoparticles tend to have a relatively lower oxide coverage due to its weaker interaction with OH, relative to smaller ones. Such a coupled structural and spectroscopic X-ray strategy offers new possibilities to perform thorough investigations of more complex bimetallic alloy or oxide electrocatalysts under realistic fuel cell reaction conditions.

In summary, the use of operando X-ray spectroscopy, such as XAS and AP-XPS and surface-sensitive X-ray scattering, such as CTR and XSW, offer a rare glimpse at the dynamic spectroscopic and structural changes of electrocatalytic processes at electrode/electrolyte interfaces. We anticipate that advances in spatially and temporally resolved spectroscopy will further our understanding of the intriguing, yet complex, multimetallic alloy or oxide surfaces. Coupling two or more Xray techniques provides complementary chemical and structural information under similar reaction conditions and enables resolving the changes in structure, composition, and distribution of interfacial species at charged surfaces, which

will contribute to the elucidation of overall electrochemical reaction mechanisms.

# 3. SCANNING AND TRANSMISSION ELECTRON MICROSCOPY (STEM)

The operando X-ray methods described above provide macroscopic-level structural and spectroscopic information on large ensembles of bulk or nanosized electrocatalysts. The recently developed aberration-corrected scanning/transmission electron microscopes (S/TEM), equipped with electron energy loss spectroscopy (EELS) and energy dispersive X-ray spectroscopy (EDX), offer invaluable complementary microscopic-level insights for establishing structure/compositionreactivity correlations of electrocatalysts. STEM with EELS and EDX can provide sub-Ångström spatial resolution (down to single atoms) with a tremendous amount of elemental/ compositional information and electronic structure of electrocatalysts. STEM-based characterizations have emerged as an indispensable technique for advancing our understanding of energy materials and their dynamic evolution during electrochemical reactions at the nanometer and atomic scales. In this section, we will review STEM studies of several representative nanosized electrocatalysts and emphasize the correlations between catalyst activity and microstructures and elucidating the catalyst degradation mechanism during fuel cell reactions.

While TEM has been instrumental in the development of solid-state electrocatalysts, historically, TEM characterization of materials in liquids and/or gases has faced a longstanding challenge due to the operational requirement of high vacuum conditions ( $\sim 10^{-10}$  bar). With differential pumping apertures around the specimen area, gas pressures of a few mbar could be studied using dedicated environmental TEMs.<sup>[77](#page-34-0)-[79](#page-34-0)</sup> Recent advances in TEM instrumentation and microchips, with thin, but robust, electron-transparent windows, have enabled in situ/ operando liquid-cell and gas-phase S/TEM with nanometer and even atomic-scale spatial resolution in realistic liquid or gas environments under electrochemical conditions. The previous atomic-scale ex situ STEM measurements have provided a baseline understanding of nanosized electrocatalysts and necessary guidance for further in situ TEM experiments. Later in this section, we will introduce the recent progress as well as challenges of in situ liquid-cell and gas-phase environmental TEM for directly visualizing (electro)chemical reactions at electrode/electrolyte interfaces.

3.1. Ex Situ STEM Studies of Electrocatalysts at the Atomic Scale. Electrocatalytic reactions are particularly sensitive to the morphology and surface composition of nanosized catalysts, where the electronic structure and atomic arrangement often dictate electrocatalytic performance. A number of notable reviews have summarized the design and development of highly active catalysts, emphasizing the chemical composition, atomic and compositional structures, working environment, and others.<sup>[80](#page-34-0)−[82](#page-34-0)</sup> It is widely recognized that a variety of modern tools are required to fully understand the design criteria and reaction mechanism, among which S/ TEM and X-ray based methods are of paramount importance as they provide information at both microscopic and macroscopic levels.[83](#page-34-0)−[85](#page-34-0) The improvement in the catalytic activity of precious-metal-based catalysts in acidic media can be divided into two aspects: one strategy relies on enhancing the intrinsic activity via electronic, ligand, and/or strain effects, such as ordered intermetallics, core−shell structures, and shape-controlled octahedral and rhombic dodecahedral nano-

<span id="page-9-0"></span>

Figure 3. Ex situ atomic-scale STEM and EELS characterizations of nanosized electrocatalysts. (A) Left: high-angle annular dark-field (HAADF) STEM image of a Pt<sub>3</sub>Co ordered intermetallic nanoparticle annealed at 700  $^{\circ}$ C, with yellow arrows indicating the Pt-rich shell. A smaller particle (lower left) overlaps the larger particle in projection. The inset shows the projected unit cell along the [001] axis. Right top: Diffractogram of the central particle in left figure. Right middle: A crop of the super lattice feature from right top figure, showing brighter Pt columns at the corners and dimmer Co columns at the center. Right bottom: The simulated ADF-STEM image of  $L_1$  ordered Pt<sub>3</sub>Co along [001] by a simple incoherent linear imaging model. (B) Top: STEM images and EELS mapping of Cu<sub>3</sub>Pt/C intermetallic nanoparticles after electrochemical/chemical dealloying. Left bottom: ORR polarization curves in 0.1 M HClO<sub>4</sub>. Right bottom: Mass activity and specific activity at 0.9 V vs RHE. (C) STEM-EELS mapping of PtCo NPs before and after aging. (D) Particle size distribution plots with/without considering volume weighting factor. (A) is reprinted with permission from ref [94.](#page-34-0) Copyright by the authors 2013 Springer Nature. (B) is reprinted with permission from ref [110](#page-35-0). Copyright by the authors 2012 American Chemical Society. (C−D) are reprinted with permission from ref [116.](#page-35-0) Copyright by the authors 2019 The Electrochemical Society.

particles (NPs). The second is to increase the stable exposed electrochemical surface area (ECSA) by forming highly dispersed NPs or porous nanocomposites. The recent development of alkaline exchange membrane fuel cells (AEMFCs) enables the use of nonprecious metal electrocatalysts for the sluggish ORR in alkaline media. $86-91$  $86-91$  Because of its great complexity in microstructures and the identification of active sites, the design strategy for nonprecious metal catalysts is still under active exploration. This includes varying nitrogen-rich precursors and ligands for M−N−C catalysts as well as control over crystal lattice and doping for transition metal oxides. Their crystal, elemental, and atomic structures can profoundly affect the activity and long-term structural stability associated with electrochemical performance during operation. In order to facilitate progress in developing novel electrocatalysts, there are various key steps to consider, regardless of physical and chemical features. The first is to identify the active sites contributing to electrocatalytic activity; the second is systematically tracking their microstructures for a

full understanding of the influence of synthesis procedures. Finally, since catalyst structural degradation likely takes place during fuel cell operation, resulting in performance decay, monitoring the structural evolution under applied potentials and establishing correlations with activities, offers valuable guidance for further performance and stability enhancements. We will select several examples to illustrate the capabilities of ex situ S/TEM for understanding the structure/activity correlations of electrocatalysts at the atomic scale (vide infra).

Significant efforts have been devoted to exploring and understanding the structural descriptors for the challenging ORR in PEMFCs and AEMFCs. Early catalyst studies attempted to correlate ORR activities to electronic structures (d-band vacancies or d-band center), oxygen adsorption energy, or Pt−Pt bond distance (lattice strain), etc. The use of S/TEM and EELS/EDX can provide valuable insights for these structural descriptors and advance our understanding of structure−activity relationships. Early theoretical work by Nørskov et al. suggested that the single most active element,

Pt, is located at the top of the volcano plot of ORR activity as a function of oxygen adsorption energy and on the volcano plot, the location of Pt is 0.2 eV off from the peak point.<sup>[92,93](#page-34-0)</sup> Stamenković et al. systematically investigated a variety of single-crystal Pt<sub>3</sub>M alloys and identified Pt<sub>3</sub>Co and Pt<sub>3</sub>Ni as two most active catalyst candidates, owing to their optimized downshift of d-band centers (i.e., weakened oxygen adsorption energy), relative to Pt.  $36,37$  $36,37$  It should be kept in mind that volcano plots are oversimplified models and cannot predict reaction rate nor electrode potentials (kinetics), nor identify the rate-determine step(s). More experimental inputs from in situ/operando spectroscopic measurements are required to establish more accurate design rules for optimizing ORR activity. After over a decade of development, Pt−Co bimetallic alloy nanoparticle electrocatalysts have emerged as one of the most active and efficient electrocatalysts for the ORR in PEMFCs. Pt−Co, with different compositions and structures, are nowadays manufactured at scale for practical PEMFC engines in fuel cell electric vehicles. In 2013, we reported on the atomic architecture of  $Pt_3Co$  ordered intermetallic NPs, of which the  $L1<sub>2</sub>$  ordered structure was directly viewed at the atomic scale with HAADF-STEM through the [001] zone axis ([Figure 3A](#page-9-0)). $94$  Since the intensity of an annular dark field (ADF) STEM image is proportional to the atomic number (I  $\propto Z^{1.7}$ ), heavier elements exhibit brighter intensity than lighter elements, which provides an opportunity to directly distinguish/identify different elements in bimetallic alloys. In this case, Pt atomic columns, at the corners, appear much brighter than Co atomic columns at the centers, which is fully consistent with the simulated image contrast on the same zone axis [\(Figure 3A](#page-9-0), right medium/bottom). In comparison, commonly used bright-field (BF) TEM or STEM imaging is based on the phase contrast of electrons and is more sensitive to light elements such as C and O, although the image contrast in BF mode is subjected to complications arising from sample thickness variations, tilting, and/or the level of defocusing. For the physical principles of S/TEM imaging and EELS, interested readers are referred to our early review.<sup>95</sup> The diffractogram in [Figure 3](#page-9-0)A (right top) reveals the existence of a (110) peak, which is a characteristic feature only belonging to the Pt<sub>3</sub>Co ordered intermetallic rather than the disordered alloy. The identified ordered intermetallic core, surrounded by a 2−3 atomic-layer-thick Pt-rich shell, was found to be responsible for the 2−3-fold increase in activity and enhanced durability for the ORR in acid, relative to the disordered  $Pt<sub>3</sub>Co$ alloy. Efforts and improvements have been made to optimize the electrochemical activity of Pt−Co NPs, such as introducing N-doped porous carbons and increasing the metal loading on carbon supports to lower the catalyst layer thickness, so as to enhance the mass transport in PEMFCs. $96,97$ 

Along with Pt−Co NPs, another important family of nanosized ORR electrocatalysts, Pt−Ni alloys, with either specially controlled morphologies/architectures or a rationally designed elemental distribution, has been reported, where STEM, equipped with EELS/EDX, enabled tracking their structural evolution from the synthesis to postannealing treatments. Following Stamenkovic'́s report on the extraordinary ORR activity of single-crystal Pt<sub>3</sub>Ni  $(111)^{36,37}$  Strasser, Fang, and others reported on the synthesis of monodisperse octahedral nanoparticles with shape-controlled {111}-bounded facets.[98](#page-35-0)−[100](#page-35-0) Pt3Ni with various morphologies have been reported, including octahedrons, cubes, truncated octahedrons, and nanoframes, with their shapes and lattice structures clearly

resolved with S/TEM. Octahedral Pt<sub>3</sub>Ni exhibited much higher ORR activity than cubic  $Pt_3Ni$  or polycrystalline  $Pt/C$ in RDE measurements.[98](#page-35-0)−[100](#page-35-0) The construction of solid rhombic dodecahedra with a diverse elemental distribution and later conversion into hollow/excavated nanoframes PtNi were elaborated by Yang et al.,<sup>[101,102](#page-35-0)</sup> benefiting from their extensive STEM studies on the anisotropic growth of alloy nanocrystals.[103](#page-35-0) Xia et al. monitored the Pt/Ni elemental ratios at different positions with EDX quantitative analysis, $104$  and Shao et al. evaluated various heating conditions on the growth of octahedral Pt−Ni NPs to fully understand the compositional and structural transitions from a spherical Pt core to an octahedral Pt−Ni as the temperature increased[.105](#page-35-0) Chattot et al. implemented a combination of S/TEM and EDX to unravel the mechanism and kinetics of the formation of hollow PtNi/C nanoparticles and temporally resolve the formation and growth of nanocrystals.[106,107](#page-35-0) Despite the excellent initial ORR activities of these shape-controlled or hollow nanocrystals in RDE measurements, their long-term stability, especially at oxidation potentials at or beyond 1 V, needs to be carefully examined before the implementation in realistic MEA tests in PEMFCs. Preliminary MEA tests of octahedral Pt−Ni nanoparticles by Kongkanand et al. showed a noticeable loss of the distinct shapes and performance decay after short-time tests in PEMFCs. $108,109$  The future development of shapecontrolled nanosized electrocatalysts relies on improving the morphological/structural stability and especially enhancing the interaction between the nanocrystals and the carbon supports to mitigate the particle aggregation commonly observed for shape-controlled nanocrystals.

The impact of postsynthesis treatments, in particular, the effects of chemical and electrochemical acid leaching and different atmospheres, was comprehensively studied with STEM. [Figure 3B](#page-9-0) compares representative STEM and EELS mapping of PtCu<sub>3</sub> NPs undertaking electrochemical cycling and chemical etching processes, respectively.<sup>[110](#page-35-0)</sup> The composite maps of Pt and Cu, as well as the speckle pattern observed from the Z-contrast STEM image, clearly demonstrate that the electrochemical leaching leads to a Pt-rich surface as indicated by the observed greenish shell, while the chemical etching counterpart yields voids and divots with nanoporosity with the appearance of dark dots. The higher mass activity of the chemically treated NPs is likely attributed to the formation of nanovoids with large ECSAs, despite the fact that the loss of Cu counterbalances the benefit of Pt lattice contraction as shown in the lower specific activity, relative to the electrochemically treated counterpart. A recent report by our group showed that the electrochemical dealloying of Pd−Ni or Pd− Mn NPs in acid led to a stable structure with a thin Pd-rich shell, which was highly active and stable during the ORR in alkaline media.<sup>[111](#page-35-0)</sup> These etching processes could improve precious-metal utilization efficiency and, more importantly, leach out the 3d metals in advance of MEA tests to minimize the detrimental impact of dissolved 3d metal ions in contaminating/poisoning the polymer membrane. Similarly, STEM was employed by Strasser et al. for an in-depth investigation of the dependence of nanoporosity on particle size and the gas atmosphere used in an annealing treatment, on the classic Pt−Ni system[.112](#page-35-0) The internal structures of particles treated in  $H_2SO_4$  under air and  $N_2$  environments were analyzed with BF-TEM, building the correlation among chemical composition, porosity, and particle size. The threshold of particle size for forming the porous structure,

<span id="page-11-0"></span>

Figure 4. Quantitative STEM tomography and identical-location S/TEM (IL-S/TEM) studies of electrocatalyst degradation. (A) Top: 3D visualizations of carbon supported Pt NPs, with exterior Pt in red and interior Pt in blue. Bottom: Cross sections of 3D reconstructions perpendicular to the imaging axis. (B) Pt utilization with different types of carbon supports and metal loadings measured by CO-stripping in MEAs with varied relative humidity (RH%). (C) Schematic of three-electrode electrochemical cell and the indexed carbon-coated gold TEM grid. (D) IL-TEM studies of hexagonal Pt nanocrystals at the same location before and after the electrochemical aging process. (E) Left: One-to-one correspondence of 3D reconstructed catalyst NPs before (gold) and after (red) electrochemical aging, and its projection on the x and z directions. Right: Nanocatalyst particle coalescence and migration trajectory indicated by arrows. (A−B) are reprinted with permission from ref [123.](#page-35-0) Copyright by the Authors. 2018 The Electrochemical Society. (C−E) are reprinted with permission from ref [131.](#page-36-0) Copyright by the authors 2012 American Chemical Society. (D) Reprinted with permission from ref [132](#page-36-0). Copyright by the authors 2015 American Chemical Society.

when treated under air, was determined by TEM while Ni contents were determined by EDX. In contrast, Pt−Ni particles treated under  $N_2$  never showed porosity regardless of the particle size, indicating that the difference in the surface diffusion rate of Pt and Ni with/without the presence of air controlled the potential of forming the porous structure.

In practice, nanosized electrocatalysts are typically assembled in MEAs and can experience substantial changes in structures/compositions and performance degradation after long-term operation. S/TEM continue to play an essential role in elucidating the degradation mechanism, such as Ostwald ripening, coalescence, particle detachments, carbon corrosion, etc.<sup>[113](#page-35-0)−[115](#page-35-0)</sup> To identify the primary degradation mechanism and understand the impact of different carbon supports in MEA performance, we employed STEM-EELS with automated data processing and achieved more statistically robust results by acquiring images and interpreting data from thousands of NPs for each specimen.<sup>[116,117](#page-35-0)</sup> [Figure 3C](#page-9-0) shows a series of STEM-EELS maps of Pt−Co NPs supported on both Vulcan and high-surface-area carbon (HSC), at both the beginning and end of life (BOL/EOL) in MEA cathodes before/after 30 000 trapezoidal voltage cycles between 0.6 and 0.95  $V<sup>116</sup>$  $V<sup>116</sup>$  $V<sup>116</sup>$ Ostwald ripening results in an increase in the thickness of the Pt shell, while collisions and coalescence induce multicore NPs, since the original cores will be retained. The STEM-EELS at the BOL/EOL clearly shows that irregular and multicore particles are more commonly observed in Vulcan-supported NPs, while HSC particles are mostly spherical with a thick Pt layer and single core. A statistical analysis of the PSDs, taking the local diameter approximation and volume weighting into consideration, gives violin plots of particle size distributions of Pt and PtCo NPs supported on Vulcan and HSC before and after voltage cycling ([Figure 3D](#page-9-0)). The mean size for BOL and EOL distributions is indicated with blue 'o' markers and red 'x'

markers, respectively. This methodology allows more comprehensive and practical microanalysis and addresses the traditional limitations of microscopic statistics. [Figure 3D](#page-9-0) in the top and bottom are based on the simple numerical (unweighted PSDs) and volume weighting models (weighted PSDs), respectively. For Vulcan-supported NPs, a growing long tail of large particles is observed, which is more pronounced in plots considering the volume-weighted factor. In comparison, the particle sizes of Pt/HSC and PtCo/HSC maintain a symmetric distribution with a relatively uniform upward shift. The direct and visual evidence from STEM/EELS and statistical particle size distribution with the consideration of volume-weight correlation strongly suggests that coalescence occurs on Vulcan-supported NPs but rarely for HSC NPs, while Ostwald ripening occurs regardless of support. We anticipate that optimization of carbons with rationalized porous networks can be an effective approach to mitigate coalescence and degradation. This microscopic methodology can shed light on the structural and electrochemical stability, over long-term operation, of fuel cell devices.

Besides the nanoparticle electrocatalysts described above, it is worth noting that electron microscopy is indispensable to help identify and visualize catalytic sites, especially for nonprecious metals and atomically dispersed catalysts. For example, Zelenay et al. employed STEM and EELS to unambiguously demonstrate the existence and distribution of single Fe sites on a nitrogen-doped carbon matrix as ORR catalysts in PEMFCs.<sup>[118](#page-35-0)</sup> Recently, we employed STEM and EELS to unambiguously resolve single Fe atoms, as active sites, in an adenosine-derived Fe−N−C nanotube electrocatalyst, which delivered a record high peak power density of ∼500 mW/cm<sup>2</sup> for an M−N−C-type oxygen cathode in AEMFCs[.119](#page-35-0) EELS on individual Fe atoms at a low beam voltage of 80 keV avoided the undesirable beam damage and confirmed that bright spots under the STEM must be Fe rather than other trace amounts of impurity heavy elements. STEM-EELS have been employed to investigate the atomic structures of metallic−organic frameworks (MOFs) derived Co−Fe alloys for the ORR in alkaline media, and STEM-EDX analysis has been used to thoroughly investigate their possible degradation mechanisms.[120](#page-35-0) The same techniques have also been used to scrutinize the atomic structures of ultralow Ptloading catalysts on Co−N−C supports to explore the origin of their ORR activity enhancement in acid.<sup>[121](#page-35-0)</sup> These singleatom electrocatalysts have recently gained increasing attention, due to their maximal utilization of metal atoms and great structural tunability from a variety of carbon-based precursors. However, moving beyond just visualizing single metal atoms by STEM, it remains a great challenge to resolve the electronic structures and coordination environment by EELS, with minimal beam damage, and EXAFS can provide complementary structural information in some cases. A recent comprehensive review on single-atom electrocatalysts by Chen et al. can be found in this journal.[122](#page-35-0)

Aside from the characterization ability of morphological and elemental identification with two-dimensional information at the atomic scale, STEM tomography enables the direct visualization of the 3D structure and more accurate quantitative analysis of both active nanoparticles and porous carbon supports. For example, we have utilized STEM 3D tomography, under cryogenic conditions to minimize beam damage, to study the distribution of Pt nanoparticles on both the outside and the inside of mesoporous carbon supports. The

reconstruction 3D models clearly identify the internal spatial distribution of Pt NPs, as shown in Figure  $4A<sup>123</sup>$  $4A<sup>123</sup>$  $4A<sup>123</sup>$  The 3D segmented tomography of solid carbon and hollow carbon supported Pt NPs with varied loading was gained from the conventional cross-sectioned STEM images shown below. It enables determining the correlation between the morphology of nanosized catalysts, internal pore regions, and pore accessibility. The Pt/C specimens were rotated perpendicular to the optical axis, and the STEM images were acquired over a tilt range of −75° to +75° within every 2° increment, and further reconstructed with their 2D cross-sessions labeled interior Pt in blue and exterior Pt in red [\(Figure 4A](#page-11-0)). It is clearly evident that the exterior Pt is dominant for Vulcan, while the majority of Pt is embedded inside of HSC, especially for the high-Pt-loading samples. Coupled with the highthroughput quantitative analysis, the 3D tomography enables the calculation of the specific surface area and interior Pt fraction and demonstrate a consistent trend with electrochemical results. The Pt utilization efficiency shown in [Figure](#page-11-0) [4](#page-11-0)B at different working conditions further reveals that essentially all Pt NPs are accessible to protons in liquid, while in a low humidity environment, only exterior Pt NPs contribute to the surface catalysis reaction since the narrow pores preclude the infiltration of ionomers, which is crucial for proton transportation.

Its high spatial resolution makes TEM an indispensable tool to study the morphology and 3D structure of electrocatalysts. However, improving the spatial resolution poses an intrinsic limitation on the statistical analysis of inhomogeneous nanosized electrocatalysts, hampering a rigorous comparison of changes at the same location before and after electrochemical reactions. This challenge was circumvented with the introduction of identical location TEM (IL-TEM) such as the early studies by Mayrhofer, Arenz et al.<sup>[124](#page-35-0),[125](#page-35-0)</sup> The IL-STEM and IL-tomography, although not truly in situ, was further developed as a high-throughput and nondestructive method for more rigorous and direct tracking of local structural changes.[126](#page-35-0)−[128](#page-35-0) The analysis of the impact of various working protocols and atmospheres on electrocatalysts offers the opportunity to differentiate degradation mechanisms. The left panel in [Figure 4C](#page-11-0) presents an electrochemical cell with an Au TEM grid as the working electrode (WE). Other similar setups were reported in the literature.<sup>129,[130](#page-35-0)</sup> The grid includes labeled and indexed windows, making it possible to perform STEM/EELS and electron tomography on the identical location of samples before and after the electrochemical aging/cycling and learn about the degradation process with one-to-one correspondence from assorted particles. We have employed IL-TEM to investigate the morphological evolution of nanosized electrocatalysts during operation.<sup>[131](#page-36-0)</sup> Nanosized electrocatalysts were loaded on the carbon-coated gold indexed TEM grid prior to the electrochemical experiment, and the potential scan region was limited to 1.4 V, before the oxidation of the Au grid. IL-TEM provided convincing evidence of rapid transformation and agglomeration of shaped-controlled nanoparticles into blunted counterparts and clusters after a few potential cycles. [Figure 4D](#page-11-0) compares the TEM images of a fresh hexagonal Pt nanoparticle sample to that after potential cycles.[131](#page-36-0) The loss of hexagonal shapes and coalescence of particles is clearly evident. The morphological transition was also tracked with IL-TEM imaging after every 25 cycles and correlated with CV results, from which it was established that the distinct shapes were lost after first 25 cycles between 0.05

and 1.3 V. The disappearance of the electrochemical features near 0.37 V, after potential cycles, matches well with the transition of the hexagonal Pt/C to the round, isotropic agglomerates which lose (100) and (111) planes. This study underscores that special attention must be paid to the electrochemical stability of shape-controlled nanoparticles, especially at oxidizing potentials. As shown in [Figure 4](#page-11-0)E, we further employed IL-STEM tomography to analyze the aggregation and movement of individual  $Pt_3Co$  NPs during potential cycles between 0.6 and 1.0 V. [Figure 4E](#page-11-0) (left panel) shows the reconstruction tomography of particles before and after electrochemical aging.<sup>132</sup> They are overlapped with fresh NPs labeled in gold and aged counterparts in red. Small movements could be clearly noticed due to the fine alignment enabled by a large set of fiduciary particles. Most of particles were found to stand still, and a few of them migrated, coalesced, and finally merged ([Figure 4E](#page-11-0), right panel). The comparison of reconstructed images before and after aging enables tracking of particles with the movement path indicated by white arrows, as exemplified in the right panel. These findings point out that particle migration accounts for a larger proportion of the sintering process. More precise conclusions of particle coalesce can be obtained by IL-STEM 3D tomography by excluding possible misleading information caused by merely observing the 2D projected TEM images.

There have been other reports using IL-TEM with different customized setups. Chorkendorff et al. fixed a sample-loaded TEM grid to the end of an RDE glassy carbon disk, which enabled an evaluation of the ORR activity through rotating disk electrode (RDE) measurements.<sup>133</sup> Although the results were qualitative, they provided a straightforward evaluation of different coarsening factors on electrocatalytic activity. Maillard et al. employed an Au TEM grid as the working electrode to enable samples on the IL-TEM grids to undergo the same electrochemical treatments as conventional measure-ments.<sup>[134](#page-36-0)</sup> They performed a systematic study of the effects of oxidizing, reducing, and neutral atmospheres on the degradation behavior of Pt/C nanoparticles during fuel cell operation. A more practical investigation was conducted by Dekel et al., who compared the degradation mechanism, using IL-TEM, in aqueous electrolytes and solid alkaline exchange membrane  $(AEM)$  electrolytes.<sup>135</sup> The latter one is closer to a real/ practical working environment, yielding more relevant and representative information. The indexed grid was fabricated in the AEM dry cell, in the absence of water. Unlike in the liquid cell, where Pt/C exhibited severe particle detachment and agglomeration, only mild Ostwald ripening of particles was observed in the dry cells. The results suggest that although liquid electrolyte is commonly employed in RDE measurements to evaluate the aging performance of catalysts candidates, key effects may be overlooked when catalysts are parts of an MEA with humidified gas reactants.

To summarize, IL-TEM has become a critical technique in the field of nanocatalysis due to its unique and irreplaceable functions, as it enables investigating the evolution of nanoparticles during aging tests. By probing the identical zones of particles before, during and after the tests, direct and unambiguous information, about the sequential changes of the particles, can be tracked and analyzed. We anticipate that future developments of the IL-TEM technique will require: (1) specialized and reliable setup and sample holders to allow convenient tests with no electrochemical or mechanical interference; (2) statistics on multiple selected locations to

ensure the true representativeness of the system, which may require automated imaging to ensure the efficiency of the experiments. As a class of important supplementary methods to understand electrocatalysts, IL-TEM techniques offer a promising bridge between conventional ex situ TEM and challenging in situ TEM experiments (vide infra).

3.2. In Situ Electrochemical Liquid-Cell TEM. Numerous energy-related chemical reactions involve electro- (chemical) processes at solid/liquid interfaces, in general, and electrode−electrolyte, in particular. Electrocatalytic reactions at solid/liquid interfaces are often accompanied by potential-dependent morphological, compositional, and structural changes of the catalysts during operation, which, in turn, play an important role in the electrocatalyst's activity, selectivity, and durability. In situ liquid-cell TEM can shed light on the fundamental understanding of electrocatalytic processes and catalyst degradation mechanisms under native environments without freezing or drying the samples. In situ liquid cell TEM is well positioned to provide unique insights on the interfacial electrocatalytic process with nanometer-scale, or higher, resolution, composition, and chemical bonding information.[136](#page-36-0)−[141](#page-36-0) Optimizing spatial and temporal resolution in liquid-cell TEM needs to consider the strong beam−sample interactions in liquids so as to minimize the beam effect on the (electro)chemical reactions.[142](#page-36-0),[143](#page-36-0)

The pioneering electrochemical liquid-cell TEM work by Ross et al. employed a homemade liquid cell with a twoelectrode system to study the electrochemical deposition of Cu clusters on Au via TEM imaging.[136](#page-36-0) Recently, in situ liquid-cell S/TEM have been used to investigate the stability of nanosized fuel cell catalysts under electrochemical conditions,[144](#page-36-0)−[147](#page-36-0) dis/ charge mechanisms of electrode materials in lithium ion batteries,[144,148](#page-36-0)−[152](#page-36-0) and structural evolution of Cu-based catalysts during the electrochemical reduction of  $CO_2$ .<sup>[153](#page-36-0),[154](#page-36-0)</sup> Liquid cell TEM has been widely used to study beam-induced growth and structural evolution of Pt-, Pd-, and Au-based nanoparticles,<sup>[155](#page-36-0)–[159](#page-36-0)</sup> as well as their corrosion processes in the presence of etching reagents like O<sub>2</sub>, halides, or Fe<sup>3+</sup>,<sup>[160](#page-36-0)-[162](#page-36-0)</sup> where, it should be noted, that the electron beam has served as an active reactant and made the reaction mechanisms significantly different from traditional (electro)chemical processes. Liquid cell TEM also has broader applications to the observation of growth and self-assembly of soft materials, such as proteins, polymers, and MOFs.<sup>[163](#page-36-0)-[167](#page-37-0)</sup> Biological samples and many energy materials, such as polymer membranes/ionomers in fuel cells, sulfur cathodes in Li−S batteries, and others, share similar beam-sensitive characteristics, especially when immersed in liquid electrolyte. Thus, the liquid-cell TEM studies of electrocatalysts can benefit tremendously from the extensive liquid-cell and cryogenic TEM studies of biological samples over the past several decades.[167](#page-37-0) It is beyond the scope of this review to provide a comprehensive description of all those active areas. The interested readers are referred to the recent book edited by Ross et al.<sup>[139](#page-36-0)</sup> as well as other reviews on liquid-cell electron microscopy.[140,141](#page-36-0) Although liquid-cell TEM has been widely used to study chemical phenomena or beam-induced particle growth/corrosion in liquids, very few studies have demonstrated reliable electrochemical results in liquid-cell TEM, which can be compared to standard electrochemical measurements. In this review, we concentrate on in situ liquid-cell TEM studies with S/TEM imaging and EELS/EDX spectroscopic capabilities to reliably quantify electrochemical

<span id="page-14-0"></span>ACS Catalysis **Example 2 Pubs.acs.org/acscatalysis CS Catalysis** Review



Figure 5. In situ electrochemical liquid-cell S/TEM and EELS studies. (A) A cross-sectional view of an in situ electrochemical TEM holder with  $SiN<sub>x</sub>$  windows encapsulating a fluid layer. The working electrode (WE) is made of carbon, while the reference and counter electrodes (RE, CE) are made of Pt. (B) Schematic of the layered structure of a Protochips Poseidon liquid-cell holder. Inset: optical image of the three-electrode microchip loaded with Pt/C nanoparticles (C). CV profiles of polycrystalline Pt films in acidic media when the electron beam is on and off. (D) In situ dis/ charging processes of the LiFePO<sub>4</sub> cathode in 0.5 M Li<sub>2</sub>SO<sub>4</sub> solution as shown in the 5 eV spectroscopic EF-TEM images. (E) valence EELS of dry LiFePO<sub>4</sub> and the delithiated counterpart FePO<sub>4</sub>, showing a distinct peak for LiFePO<sub>4</sub> but not for FePO<sub>4</sub>. (F). In situ HAADF-STEM imaging of a Pt–Ni catalyst during electrochemical potential cycling between 0.0 and +1.2 V vs. RHE for 20 cycles at 100 mV/s in 0.1 M HClO<sub>4</sub>, followed by a step into high potential. Panels b−d correspond to the points in the upper panel. Insets in (b−d) show enlarged fractions of particles aligning on their facets. (A, C−E) are reprinted with permission from ref [144](#page-36-0). Copyright by the authors 2014 American Chemistry Society. (B) Schematic Copyright of Protochips Inc. Inset Copyright by the authors. (F) is reprinted with permission from ref [145.](#page-36-0) Copyright 2019 Royal Society of Chemistry.

processes and discuss the major challenges facing rigorous electrochemical measurements in liquid-cell TEM.

Figure 5A presents a cross section of a typical in situ liquidcell TEM with a three-electrode chip and EELS spectrometer for chemical analysis.<sup>[144](#page-36-0)</sup> The electrochemical chip is contained within two electron-transparent  $\sin x$  windows (~50 nm thick), which are able to seal a liquid pocket and withstand the UHV environment inside the TEM chamber and enable a microfluidic flow to constantly supply fresh electrolyte. Figure 5B shows the layered structure of a Protochips Poseidon liquid-cell TEM holder, and the inset shows an optical image of the electrochemical chip we designed with Protochips. The three-electrode configuration follows the design rules of working, reference, and counter electrodes (WE, RE, and CE) in a standard electrochemical measurement. The CE has a large surface area, relative to the working electrode, to enable a rapid polarization, while the RE serves as a stable reference point for measuring and controlling the potential of the WE. The distance between RE and WE is small so as to minimize the IR drop in a sub-micrometer thin electrolyte layer. In the present study, both the RE and CE are made of Pt for its chemical stability and wide application in nanofabrication, while the WE is made of carbon to enable the electron beam to image interesting metal or metal oxide particles with minimal background scattering. In order to show that the customized microchip is capable of yielding well-established electrochemical results, we performed CVs of a Pt film in acidic electrolyte (∼500 nm thick), which showed the characteristic behavior of poly-Pt with two pairs of hydrogen adsorption/ desorption peaks ({ $110$ } facets at −0.75 V and { $100$ } facets at −0.6 V vs Pt) as well as the broad Pt oxidation/reduction peaks (Figure 5C). Negligible changes in CV profiles were detected when the electron beam was turned on, indicating minimal (if any) beam damage effects on the electrochemical measurement, since the CV measurement is extremely sensitive to trace levels of contaminants or changes on the surface or in

solution at the submonolayer level. Further thinning the electrolyte (∼150 nm) caused significant ohmic drop in the CV measurements, indicating an inherent compromise between the optimal spatial resolution and the quantitative electrochemistry, which presents a great challenge for EELS or EDX analysis in liquids. Thus, it is highly recommended to obtain the well-established CV profiles of Pt before exploring unknown electrochemical redox couples in liquid-cell TEM. A report by Mayrhofer et al. showed that the CV of Pt could be severely interrupted by electrolyte decomposition and Pt redeposition at large beam doses.<sup>[140](#page-36-0)</sup> Zaluzec showed that the electron beam has a significant impact on the open circuit potential  $(OCP)$  of the WE in pure  $H<sub>2</sub>O$  due to capacitive charging of electrode by the beam but a negligible effect in 1  $mM$  H<sub>2</sub>SO<sub>4</sub> with sufficient ions to rapidly reach charge equilibrium, indicating that the electrochemical signals should be closely monitored when the beam is turned on.<sup>168</sup> Unocic et al. reported that it is important to have a well-defined electrode geometry and microfluidic conditions, and ensure that the WE is thin (<50 nm) and highly conductive to ensure a uniform current density distribution for quantitative electrochemical measurements.<sup>[169,170](#page-37-0)</sup> One may notice that the potentials in Figure 5C are presented against a Pt pseudo RE, relying on the metastable redox couple of  $PtO_x/Pt$ . Given that the position of the characteristic Pt {110} is often located at ∼0.1 V vs RHE, we estimate the potential difference between the Pt pseudo-RE and SHE to be about 0.8 V so that an electrochemist's mind can be easily "calibrated" to the commonly used RHE scale. In practice, we notice a potential drift of ∼50 mV during extended CV potential cycles, which comes from an intrinsic limitation of the chip design in which all three electrodes are immersed in the same electrolyte, since it is challenging to incorporate a salt bridge to separate the RE from the WE and a glass frit to separate the CE from the WE in the highly confined space of a liquid-cell holder, as commonly used in standard electrochemical measurements. Thus, the potential of a Pt RE can drift when the CV measurements change the electrolyte composition and the current at the CE produces trace amounts of soluble hydrogen or oxygen gas, which can diffuse to the RE and alter the nature of the Pt RE to, for instance, Pt−H/Pt (i.e., a Pt RHE). Thus, the lower/upper limits of the CV should be constantly monitored and carefully adjusted, to avoid undesirable hydrogen or oxygen evolution reactions. An active area under investigation is to search for more stable REs to replace the Pt pseudo-RE.

With a rigorous electrochemical analysis established, we performed a liquid-cell TEM study tracking the de/lithiation dynamics of  $LiFePO<sub>4</sub>$ , an important cathode material in Li-ion batteries [\(Figure 5D](#page-14-0)). Besides the morphological information provided by S/TEM imaging in liquids, EELS or EDX in liquids can provide compositional and bonding information. EELS was employed in this study since EDX is not suitable for light elements like lithium. Core-loss EELS is widely used to provide chemical fingerprints whereby the incident beam excites a core−electron to unoccupied orbitals above the Fermi level. In comparison, valence EELS (below 50 eV) provides unique electronic structure information by studying the electron interacting with weakly bound outer-shell valence electrons, which surveys the same electronic levels as UV−vis spectroscopy. Core-loss EELS suffers from multiple scattering and can be overwhelmed by the plasma peak of the liquid when the liquid thickness is more than 2−3 mean free paths (200−300 nm), making it practically impossible to analyze in electrochemical liquid-cell TEM with a ∼500 nm thick liquid layer. In contrast, the valence EELS can tolerate liquids up to 6−7 mean free paths (600−700 nm) given its large scattering cross sections and low background from the liquid plasmon peak. An in-depth discussion of EELS in liquids can be found in the work by Holtz et al.<sup>146</sup> As shown in [Figure 5](#page-14-0)E, the valence  $EELS$  of delithiated  $FePO<sub>4</sub>$  exhibits a pronounced feature at 5 eV, which is not present in the lithiated LiFePO<sub>4</sub>. This peak enables a quick spectroscopic mapping of the state of lithiation, indicating the depth of dis/charge. In addition, UV−vis, together with JDFT calculations, identified a unique feature at ∼6 eV as belonging to LiSO<sub>4</sub>  $^-$ , which can be used to track the concentration gradient of the  $Li<sub>2</sub>SO<sub>4</sub>$  electrolyte. Thus, energy-filtered TEM (EF-TEM) images with a window of 2.5−7.5 eV are capable of monitoring the dynamic lithium distribution in both the electrode and electrolyte. During the charging process, a bright nanoparticle was detected in the 5 eV EF-TEM at a dose rate of ~500 e/(nm<sup>2</sup>·s), corresponding to a delithiated  $FePO<sub>4</sub>$  nanoparticle. Other nearby particles were overall brighter than discharged states, indicating a partially delithiated state ([Figure 5D](#page-14-0), lower left/middle). The 5 eV EF-TEM image in the solution region also showed brighter intensity after the charging process, corresponding to the formation of LiSO<sub>4</sub><sup>-</sup>. During the discharging process [\(Figure](#page-14-0) [5](#page-14-0)D, lower middle/right), the bright particles disappeared due to the formation of  $LiFePO_4$ , and the surrounding solution also showed lower intensity due to the depletion of  $\rm LiSO_4^-$  and  $\rm Li^+.$  $LiFePO<sub>4</sub>$  nanoparticles exhibited great structural inhomogeneity and two competing lithiation mechanisms, core−shell and anisotropic growth, could occur in parallel. This study represents a general approach to employ in situ liquid-cell S/ TEM and EELS to perform rigorous electrochemical measurements and provide valuable insights on the dynamics of charge/ion transfer kinetics at electrode/electrolyte interfaces.

In situ electrochemical liquid-cell TEM has provided important clues for the stability of fuel cell catalysts under

electrochemical conditions.<sup>[145](#page-36-0),[147](#page-36-0)</sup> As previously discussed in the IL-TEM study [\(Figure 4](#page-11-0)D), it is critical to understand the structural stability of shape-controlled nanocrystals before their implementation in realistic fuel cell devices. The activation and degradation processes of octahedrally shaped Pt−Ni alloys ([Figure 5F](#page-14-0), inset), a family of highly active ORR electrocatalysts in acidic media, were investigated using the in situ electrochemical liquid-cell TEM. The structural stability of both the catalysts and carbon supports can face significant challenges at high oxidation potentials, which can occur in the startup/shutdown conditions in PEMFCs, reaching potentials as high as ~1.6 V vs RHE due to the existence of  $H_2/$ air interface at the anode side.<sup>[115](#page-35-0)</sup> [Figure 5](#page-14-0)F shows the timeresolved morphological changes of the octahedral Pt−Ni NPs (∼8 nm) as a function of potential cycles. During CV cycles between 0.05 and 1.2 V vs RHE, mild particle coalescence and aggregation were observed (panels b and d). An aggressive potential hold at 1.4 V for several minutes led to severe particle motion and aggregation (panel g), which is likely due to the oxidation and corrosion of carbon and which can induce the detachment of particles from the weakly bound carbon supports. The shape-controlled NPs, including those in the present study, are often loaded on carbon supports after their synthesis, rather than being directly grown onto it, resulting in weak catalyst−support interactions, when compared to the intimate catalyst−support interactions that arise from impregnation methods. Previously, we performed an in situ electrochemical liquid-cell TEM study of  $Pt<sub>3</sub>Co$  nanoparticles on carbon supports synthesized by an impregnation method, where only mild particle coalescence was observed, despite significant carbon corrosion at high potentials.<sup>[147](#page-36-0)</sup> Similar mild catalyst coalescence and carbon corrosion were also observed in Pt−Fe alloys prepared by impregnation methods[.171](#page-37-0) Those in situ liquid-cell TEM studies offer an important lesson about reducing the surface mobility of catalyst nanoparticles by enhancing particle-support interactions. Several strategies have been proposed to mitigate these issues, such as enhancing the chemical interactions by doping carbon with foreign elements (e.g., nitrogen),<sup>[96](#page-34-0)</sup> and designing porous carbon structures for confining the particle motion and increasing the contact area for nanoparticles. For instance, [Figures 3C](#page-9-0) and [4](#page-11-0)A demonstrate that the Ketjen Black-based HSC carbon can significantly mitigate  $Pt_3Co$  particle coalescence/aggregation and confine the particle in its highly rich interior mesopores, compared to the traditionally used less porous solid Vulcantype carbon, in MEA tests. Designing corrosion-resistant support materials to replace carbon and oxidation-resistant alloy catalysts will be key to developing electrocatalysts for long-term fuel cell operation.

Electrochemically induced structural evolution offers a highly controlled strategy to tune the nucleation and growth kinetics of nanocrystals and can yield single-crystal NPs with a variety of low and high-index facets, $172$  Although the structural evolution mechanism and stability of shape-controlled nanocrystals, before/after electrochemical treatment, have been explored by IL-TEM as shown in [Figure 4](#page-11-0)C−D, the timeresolved dynamical changes of electrocatalysts are largely unknown and call for the use of in situ liquid-cell TEM methods. A notable example is the dynamic structural evolution of Cu-based electrocatalysts during the electrochemical reduction of  $CO<sub>2</sub>$ , such as from irregular Cu nanocrystals to well-defined nanocubes with (100) facets, which results in a dramatic increase in selectivity for ethylene, a

<span id="page-16-0"></span>

Figure 6. In situ electrochemical liquid-cell S/TEM, EDX mapping, and tomography in liquids. (A) In situ STEM imaging of the growth and morphological evolution of Cu<sub>2</sub>O nanocubes in CO<sub>2</sub>-saturated 0.1 M KHCO<sub>3</sub> solution. (B) HAADF-STEM-EDX mapping of nanocomposites in water (∼200 nm thick). Lower left: The tip modification necessary for X-rays to reach EDX detector. Lower right: The perpendicular configuration of the WE, optimizing the viewing area for EDX detector. (C) 3D atomic-scale strain maps of ligand-coated Pt NPs in a graphene-encapsulated static liquid cell. (A) is reprinted with permission from ref [153](#page-36-0). Copyright 2020 Springer Nature. (B) is reprinted with permission from ref [178.](#page-37-0) Copyright 2014 Royal Society of Chemistry. Lower right panel: Copyright of Protochips Inc. Panel (C) is reprinted with permission from ref [179.](#page-37-0) Copyright 2020 American Association for the Advancement of Science.

valuable chemical feedstock, over methane.<sup>[173](#page-37-0)-[176](#page-37-0)</sup> Figure 6A shows an in situ liquid-cell TEM study of the electrodeposition of cubic copper oxide  $(Cu_2O)$  from  $CuSO<sub>4</sub>$  solution and its subsequent morphological evolution during potential cycles in  $CO_2$ -saturated KHCO<sub>3</sub> solution in the presence of chloride.<sup>[153](#page-36-0)</sup> At the beginning, both cubic and noncubic NPs were observed (Figure 6A, panels b and d). With extended potential cycling, the fraction of cubic NPs progressively grew as shown in the red histogram and panel f, while noncubic NPs electrochemically dissolved during the positive-going scans. Analysis of nanoparticle growth at different potentials during the CV cycles suggests that cubic  $Cu<sub>2</sub>O$  achieved an average particle size of ∼400 nm after several potential cycles. Control experiments, in the absence of chloride, indicated that chloride was necessary for the formation of cubic  $Cu<sub>2</sub>O$  by stabilizing the  $\{100\}$  facets against oxidative dissolution while  $\{111\}$  and {110} facets experienced faster dissolution under the same conditions. Treating the electrochemically prepared  $Cu<sub>2</sub>O$ cubes at the more negative potential of −0.7 V vs Pt RE, led to the transition from cubic to an irregular needle-like morphology. Interestingly, a recent liquid-cell TEM study by Yang et al.<sup>154</sup> reported the transformation from irregular Cu

NPs ( $\sim$ 7 nm) to cubic Cu<sub>2</sub>O ( $\sim$ 20 nm) under a negative biasing potential of −0.6 V vs carbon pseudo-RE in 0.1 M  $KHCO<sub>3</sub>$ , in the absence of chloride, while a larger Cu NP precursor (15−20 nm) did not undergo similar morphological changes under the same conditions. These two in situ liquidcell TEM studies reveal that the starting precursors (solution or Cu NPs), electrochemical testing protocols (triangular or constant potentials), and electrolyte composition all have a significant impact on the final morphology and structure of the electrochemically shape-selected nanocrystals.

Finally, two recent reports are highlighted here to illustrate the latest technical breakthroughs in the development and application of in situ liquid-cell TEM. Having discussed the significant challenges facing EELS analysis in [Figure 5](#page-14-0)E, it is realistic to perform EDX elemental analysis in liquids due to the high penetration depth of X-rays. Figure 6B presents STEM-EDX elemental maps of nanocomposites of Cu, Ag and other elements. An EDX spatial resolution of ∼15 nm was reported by Zaluzec et al. in a liquid thickness of ∼200 nm.[177](#page-37-0),[178](#page-37-0) Ultimately, the liquid thickness needs to be optimized to enable the proper electrochemical reactions. In addition, the EDX spatial resolution is limited primarily by the  $Pt_3Co$ 

A

<span id="page-17-0"></span>ACS Catalysis **Example 2 Pubs.acs.org/acscatalysis Pubs.acs.org/acscatalysis Pubs.acs.org/acscatalysis Pubs.acs.org/acscatalysis** 

D



Figure 7. In situ environmental S/TEM under controlled temperatures and gas phases (A) Schematic of the disorder−order phase transition of Pt<sub>3</sub>Co under high-temperature annealing. (B). The degree of ordering and domain size of Pt<sub>3</sub>Co NPs, quantified by in situ heating XRD, as a function of the annealing temperature (C) The durability of Pt<sub>3</sub>Co/C catalysts in terms of the retention of MA, SA, ECSA as well as the half-wave potential shift after 2000 CV cycles from 0.6 to 1.0 V vs RHE in 0.1 M HClO4. (D) In situ heating STEM tracking of the morphological changes of Pt<sub>3</sub>Co/C at 750 °C, showing particle migration and coalescence during a 2 h annealing process. (E) Volume-weighted particle size distribution of thousands of Pt<sub>3</sub>Co NPs, reflecting the relative contribution of larger particles more clearly. (F) Relative SSA loss of Pt<sub>3</sub>Co estimated from STEM images of three different regions during annealing. Inset shows the ECSA of Pt<sub>3</sub>Co/C before and after annealing, measured from CV profiles. (G− H) In situ gas-phase atomic-scale HR-TEM images of Pt/CeO<sub>2</sub>, showing the surface oxidation at elevated oxygen pressure. Inset shows the crystal model of  $\alpha$ -PtO<sub>2</sub> (I–J) BF-STEM image of cubic MgO crystals with surfaces covered by Mg(OH)<sub>2</sub> after exposure to flowing water vapor at ∼16 Torr at 250 °C. (J) Comparison of mass spectra of the gas cell with/without ∼16 Torr water vapor and ∼8% H2O in air at room temperature. Inset shows gas lines built for mixing different types of gases. (A−F) are reprinted with permission from ref [205.](#page-38-0) Copyright by the authors 2019 National Academy of Sciences. (G−H) are reprinted with permission from ref [220](#page-38-0). Copyright 2014 Royal Society of Chemistry. (I−J) are reprinted with permission from ref [222](#page-38-0). Copyright 2020 Microscopy Society of America.

maximum beam dose allowed to avoid beam damage. Achieving a high-quality EDX map will require the redesign of the liquid-cell holder and electrochemical chip. As shown in the lower panels of [Figure 6](#page-16-0)B, the holder tip needs to be modified to overcome the shadowing problem and enable the X-rays to reach the EDX detector at a normal tilting angle of  $\sim$ 30°. The viewing window for the WE ("line of sight") will need to be adjusted from a parallel to perpendicular configuration, relative to the holder direction, to maximize the WE area accessible to the EDX detector ([Figure 6B](#page-16-0), lower right). It is equally important to equip the STEM with a dual or quadruple EDX detector with a large solid angle of 0.5 Steradian or above, in order to lower the beam dose and acquisition time to avoid or minimize beam damage. With the continuous development of EDX instrumentation and liquidcell holders, it is reasonable to anticipate that in situ liquid-cell STEM-EDX will be capable of resolving the dynamic changes in chemical composition(s) under working electrocatalytic conditions. Finally, while the aforementioned flow-type liquidcell TEM ([Figure 5A](#page-14-0)) provides invaluable insights into

nanometer-scale chemical dynamics, it is often very challenging to achieve atomic-scale resolution in a liquid layer whose thickness ranges from 100 to 500 nm. A recent study by Ercius and co-workers demonstrated the atomic-scale 3D tomography of Pt NPs in a static liquid cell encapsulated by two thin graphene sheets.<sup>179</sup> The tight sealing of Pt NPs in a liquid pocket (a few nanometers) can be achieved by the strong interactions between the two graphene sheets, which minimizes the electron scattering by the liquid or graphene window and enables atomic-scale resolution. As shown in [Figure 6](#page-16-0)C, 3D strain maps of two Pt nanoparticles, based on precise measurements of interatomic distances in 3D tomography, exemplify the structural complexity of ligandcoated nanocrystals synthesized by the widely used colloidal method. It shows, in three dimensions, that the surface of Pt NPs can have a compressive or tensile strain at different locations as well as internal defects and gain boundaries. The nonuniform coating of polyvinylpyrrolidone (PVP) ligands was proposed to induce localization of electron density around the surface Pt atoms and thus cause local deviation of the atomic

lattices and surface strain. The static liquid-cell STEM approach offers the possibility of performing atomic-scale STEM imaging, spectroscopic analysis in liquids (as commonly used in a vacuum) and complementary information down to the nanometer-scale in electrochemical liquid-cell TEM studies.

In summary, in situ electrochemical liquid-cell TEM is a fasting-growing technique that is providing unprecedented time-resolved information on dynamic changes in morphology, structure and composition of electrocatalysts under reaction conditions. Liquid-cell TEM will continue to enable us to explore new frontiers in electrochemistry, such as reaction kinetics at elevated temperatures<sup>[180](#page-37-0)</sup> and imaging electrochemical polymerization process.<sup>181</sup> Further development on liquid-cell TEM will continue to improve the spatiotemporal resolution for tracking fast reaction kinetics using newly developed electron detectors with fast readout and enhanced electron sensitivity for low-dose STEM.<sup>182,[183](#page-37-0)</sup>

3.3. In Situ Environmental TEM under Controlled Temperature and Gas Phases. Environmental-TEM (E-TEM) enables the in situ study of the dynamical behavior of nanosized (electro)catalysts under controlled temperature and gas environments.[184](#page-37-0),[185](#page-37-0) Traditional E-TEM involves a dedicated differential pumping system to study catalysts under a mTorr-level pressure or lower and medium temper-atures.<sup>[186,187](#page-37-0)</sup> More recently, in situ gas-phase S/TEM techniques have returned to an early approach of employing a flow-type gas cell encapsulated by thin and robust  $\sin x$ . windows, similar to the design of liquid-cell holders in [Figure](#page-14-0) [5](#page-14-0)A. The in situ gas-phase S/TEM enables the study of nanoscale catalysts during reaction conditions under a variety of gas environments at temperatures up to 1000 °C and pressures up to 1 atm. Since the electron beam is scattered much less in gases than in liquids, in situ gas-phase environmental TEM enables the use of regular STEM and EELS to achieve atomic-scale spatial resolution with minimal impact due to beam damage. Such studies can provide valuable insights and guidance for the design, synthesis, and mechanistic understanding of catalysts for a variety of gasphase (electro)catalytic reactions, such as hydrogen fuel cells and ammonia production. In situ heating TEM under variable gas environments has provided new information on the thermal stability of Pt-based catalysts,[188](#page-37-0)−[191](#page-37-0) surface reconstruction and elemental distribution of Pt-based catalysts under  $O_2$ , H<sub>2</sub> or  $CO<sub>192-195</sub>$  $CO<sub>192-195</sub>$  $CO<sub>192-195</sub>$  $CO<sub>192-195</sub>$  $CO<sub>192-195</sub>$  shape and facet evolution of metal nanoparticle catalysts,<sup>[196](#page-37-0)–[198](#page-37-0)</sup> and 3D tomography of *in situ* structural evolution<sup>[199](#page-37-0)–[203](#page-38-0)</sup> Recently, in situ gas-phase STEM-EDX was able to distinguish elemental segregation and surface reconstruction of bimetallic alloys, such as Pd−Cu alloys during catalyst activation and operation.<sup>[204](#page-38-0)</sup> Here, we will select three examples to illustrate the recent progress on in situ heating and gas-phase TEM for electrocatalysts.

Pt-based intermetallics exhibit superior electrocatalytic activity, particularly for the sluggish ORR in PEMFCs. Assynthesized, Pt-based metal alloy NPs generally form as disordered alloys, which suffer from a rapid loss of activity during electrochemical cycling due to the dissolution of the non-Pt element and subsequent changes in both the particle morphology and crystal structure. After postsynthesis annealing at high temperatures, the disordered alloy can be transformed into the ordered intermetallic phase, which can show significantly enhanced durability and activity,<sup>205−[219](#page-38-0)</sup> as shown in the example of Pt<sub>3</sub>Co in [Figure 7](#page-17-0)A.<sup>205</sup> It is critical to

understand the order−disorder phase-transition behavior of nanocrystals, which will enable us to develop better annealing protocols to increase the degree of ordering of the NP catalysts and, by extension, boost fuel cell performance. We employed in situ heating TEM, together with in situ heating XRD, to quantify the degree of ordering in  $Pt<sub>3</sub>Co$  nanoparticles, for the first time, and study the order−disorder phase transition and morphological changes during real-time high-temperature annealing [\(Figure 7](#page-17-0)A−F). In situ heating, synchrotron-based XRD was performed to investigate the impact of a variety of annealing conditions on the degree of ordering of large ensembles of Pt<sub>3</sub>Co NPs based on the characteristic  $\{110\}$ ordering peak, which only exists in the ordered intermetallic phase, not in the disordered alloy [\(Figure 7B](#page-17-0)). As the temperature was increased from 600 to 750 °C, the degree of ordering increased progressively and reached the maximum level of ~30%, indicating ~1/3 of the Pt<sub>3</sub>Co NPs had transformed to the ordered intermetallic while the rest  $(2/3)$ remained as disordered alloys. As the temperature was further increased from 750 to 800 °C, the degree of ordering dropped sharply to zero since the disordered phase is a more stable phase once the temperature passes beyond the order−disorder phase transition temperature (ODPT), based on the phase diagram. Monte Carlo simulations suggest that smaller particles tend to have a lower ODPT and thus are prone to lose their ordered structures at temperatures higher than that for larger particles, which is consistent with the correlation between higher degree of ordering and larger particles when temperature is below 750 °C ([Figure 7B](#page-17-0)). Comprehensive stability measurements in RDE suggest a strong positive correlation between a higher degree of ordering and more durable electrocatalysts in all performance metrics, MA, SA, ECSA retention and the half-wave potential shift [\(Figure](#page-17-0) [7](#page-17-0)B,C). In situ heating STEM was employed to directly visualize the morphological changes ([Figure 7D](#page-17-0)). Significant particle collisions and coalescence on the carbon support were also observed, with some particles moving by over 10 nm and experiencing pronounced particle size growth. In contrast, several ∼2 nm particles remained fixed near their initial positions with little/no noticeable change in size throughout the experiment. This observation argues against Ostwald ripening as a significant contributor to particle coarsening. [Figure 7E](#page-17-0) exhibits the volume-weighted PSDs of thousands of Pt<sub>3</sub>Co NPs, using an automated algorithm, showing that the larger particles account for a significant fraction of the  $Pt<sub>3</sub>Co$ volume, potentially responsible for the loss of ECSA. The relative Pt<sub>3</sub>Co specific surface area (SSA) loss was calculated to be ∼33% based on STEM images, which is consistent with the loss in electrochemical surface area (ECSA) measured by cyclic voltammetry (CV) ([Figure 7F](#page-17-0), inset). STEM images confirm the formation of both fully and partially ordered NPs at the atomic scale. The annealed  $Pt_3Co/C$  nanoparticles, with an optimal degree of ordering achieved at 750 °C, exhibited significantly enhanced durability with <5% loss in power density at 0.67 V, relative to 15% loss for the disordered alloy, after 30 000 potential cycles in MEA tests. The results highlight the importance of understanding the annealing process to optimize electrocatalytic activity. In situ heating TEM enabled the direct visualization of nanoparticle growth and coalescence, the quantitative analysis of surface area, and the formation of both fully and partially ordered nanoparticles at the atomic scale. This strategy of combing in situ heating STEM and in situ heating XRD highlights the importance of

close coupling between two techniques at different length scales for better understanding of the structure−activity relationship(s) of electrocatalysts.

[Figure 7G](#page-17-0)−H present an example of in situ gas-phase TEM studies of the dynamic oxidation and reduction of Pt NPs supported on a  $CeO<sub>2</sub>$  substrate ([Figure 7](#page-17-0)G,H).<sup>[220](#page-38-0)</sup> Takeda et al. resolved the atomic-scale HR-TEM image of the Pt NPs, which remained stable under a very low  $O_2$  pressure of 10<sup>-6</sup> Pa at a dose rate of ~10<sup>5</sup> e/(nm<sup>2.</sup>s) ([Figure 7G](#page-17-0)). The surfaces of the Pt NPs were oxidized as clearly evidenced by the expansion in lattice d-spacings, which is consistent with the theoretical values of  $\alpha$ -PtO<sub>2</sub> [\(Figure 7H](#page-17-0) and the simulated model in the inset). It is interesting to note that the surface Pt oxides could be reduced readily by CO as well as the electron beam in a vacuum, indicating the non-negligible beam irradiation, which could be mitigated by employing a lower dose rate of  $\sim 10^3$  e/ (nm2 ·s). The surface oxidation process of Pt NPs could be suppressed in the presence of water vapor, which was attributed to the generation of reducing species such as  $H_2$ produced by beam irradiation. Another gas-phase TEM study, by the same group, on Au NPs during the CO oxidation process, showed that the atomic-scale CO-induced surface reconstruction preferentially occurred at {100} facets while leaving the {111} facets intact. These studies illustrate the capability of gas-phase TEM for capturing atomic-scale structural changes, while underscoring beam effects on the structural stability of nanosized catalyst surfaces.<sup>[221](#page-38-0)</sup> Furthermore, in a recent development, an in situ gas-phase TEM was coupled to an online mass spectrometer (MS) for analysis of trace amounts of a variety of gas products (ppm-level), which can be tremendously valuable for many electrocatalytic reactions, such as the electrochemical reduction of  $CO<sub>2</sub>$  or  $N_2$ , as well as the oxidation of alcohols or  $NH_3$ , etc. [Figure 7](#page-17-0) I,J features a very recent study by Unocic et al. on a model system of cubic MgO crystals and their transition to  $Mg(OH)_2$  under controlled relative humidity (RH%) and temperatures.<sup>[222](#page-38-0)</sup> The RH% could be adjusted from 2% up to 100% at a pressure of ∼17 Torr, and the temperature could be controlled from 25 up to 900 °C in the presence of water vapor. [Figure 7J](#page-17-0) shows that the online coupled MS is able to distinguish water vapor in a mixture with Ar, using  $H_2$  as the carrier gas. Despite still being at an early stage, the MS-coupled in situ gas-phase TEM shows the potential to simultaneously investigate the structural and spectroscopic changes of (electro)catalysts with STEM-EELS and detect gas/volatile reaction products with an online MS. For instance, in situ gas-phase TEM can simulate the practical working environment of an MEA by supplying both hydrogen and oxygen fuels under controlled humidity and temperatures.<sup>2</sup>

In summary, the strategy of using in situ gas-phase STEM, coupled with EELS/EDX and online MS, could serve as a generalized platform to better understand reaction mechanisms of a variety of heterogeneous catalysts at gas/solid interfaces. The combination enables the investigation of the morphological, compositional, and structural changes of catalysts and establish structure−activity correlations, at the atomic scale, which would, in turn, provide guidance for the deliberate design of high-performance electrocatalysts. High energy synchrotron-generated X-rays can penetrate electrocatalyst samples with  $\mu$ m-to-mm thickness while electron beams are most useful for analyzing samples thinner than 1  $\mu$ m. For both high-energy X-rays and electrons, it is critical to minimize the beam damage, so as to probe the dynamic structural/

compositional changes without affecting the measurements. While X-rays interact more weakly with the sample, they interact more weakly for both useful imaging events, and harmful damaging events. For imaging, what matters is the ratio: X-rays produce a level of beam damage (inelastic scattering events) per useful elastic scattering event, that is 1− 2 orders of magnitude higher than that for electrons across all wavelengths and energies.<sup>167</sup> This becomes a problem at high spatial resolution, where the imaging resolution is dose-limited by counting statistics. Thus, X-rays and electrons can serve as complementary techniques to resolve the dynamics of electrocatalytic reactions at different length scales.

#### 4. SCANNING ELECTROCHEMICAL MICROSCOPY (SECM)

Scanning electrochemical microscopy (SECM) is beginning to see use outside dedicated electrochemistry groups. The instrumentation is comparatively simple, inexpensive, and commercially available, while the technique offers unique and powerful functionality for electrocatalysis applications.<sup>22</sup> The idea for a scanning electrochemical probe was conceived by Bard and co-workers in 1986, inspired by their work on STM in a liquid environment.<sup>[227](#page-38-0)</sup> They realized that by controlling the probe and substrate potentials independently (akin to ring-disk measurements), interfacial reactions at the substrate electrode could be measured by electrochemically detecting reaction products at the probe. This is known as the substrate generation tip collection (SG/TC) mode of SECM. The next step in the development of SECM was the feedback mode  $(FB)$ .<sup>[228](#page-38-0)</sup> The FB mode relies on a chemical mediator (kinetically facile redox couple added to the electrolyte) to facilitate communication between the probe and the substrate. As the probe to substrate separation distance decreases, the current at the probe is affected by the nature of the substrate. In the case of an insulating, inactive substrate such as glass, the current decreases (negative feedback) because the substrate blocks the diffusion of the mediator to the probe. This response is often used for probe positioning and topographical imaging. In the case of a conducting substrate such as platinum, the current increases (positive feedback) because nonlocal electron transfer regenerates the mediator at the substrate. In an intermediate case, adsorbates on the substrate can be titrated by the mediator, giving an initial positive feedback followed by a negative feedback response as adsorbates are depleted.<sup>[229](#page-38-0)</sup> This notable advancement in SECM, known as the surface interrogation mode (SI-SECM), allows the quantification of reactivity and coverages of reaction intermediates on catalytic surfaces. $230$ 

Any quantitative experimental technique needs a solid theoretical and mathematical foundation. While analytical expressions exist to model feedback theory and current− distance curves,<sup>[231](#page-38-0)</sup> increasingly complex SECM experiments in recent years utilize finite element analysis software such as COMSOL Multiphysics for modeling the physics of the SECM experiment to aid in the understanding of experimental results and extract quantitative information.

4.1. Fundamentals of SECM. SECM is a form of scanned probe microscopy. The instrumentation of SECM consists of a probe (or tip) electrode, a bipotentiostat to control the probe and substrate potentials independently, micropositioners to scan the probe in xyz directions, and a computer to control the system. Typically, a 4/3 electrode electrochemical cell is used (WE: probe, second WE: substrate, CE and RE) with an

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Figure 8. Scanning electrochemical microscopy (SECM). (A) (left) Schematic of a substrate generation tip collection (SG/TC) SECM experiment on a single gold nanoparticle (Au NP) to measure activity and extract kinetic information. The Au NP generates  $H_2$ , with the driving force being supplied by biasing the substrate (HOPG). The probe measures the HER activity by collecting (oxidizing) H<sub>2</sub>. (right) A Tafel plot for the HER obtained at a single Au NP using this methodology. (B) Current−distance (approach) curves obtained at single platinum nanoparticles of varying sizes with all exhibiting extremely fast electron transfer rates. (C) The use of surface interrogation (SI) mode of SECM to detect the buildup of oxygen intermediates during ORR at novel electrocatalysts. (D) Coupled scanning differential electrochemical mass spectrometry (SDEMS) with SECM used for evaluating a bimetallic catalyst for methanol oxidation. (left, top) Image of Pt−Ru catalyst array. (left, bottom) Catalyst array activity measured at various bias potentials. (right) Corresponding CO<sub>2</sub> generation measured at various bias potentials. (E) Activity mapping of a compositional array of trimetallic Pd−Au−Co ORR electrocatalysts using the tip generation substrate collection (TG/SC) mode of SECM for high throughput screening. (A) is reprinted from with permission from ref [234.](#page-38-0) Copyright 2014 Wiley. (B−C) are reprinted from with permission from refs [237,241](#page-39-0). Copyright 2016, 2019 American Chemistry Society. (D) is reprinted from with permission by the authors from ref 243. Copyright 2013 American Institute of Physics. (E) is reprinted from with permission by the authors from ref 242. Copyright 2005 American Chemistry Society.

environmental control (oxygen, temperature, etc.) if needed. The cell is designed to minimize convection, and sufficient supporting electrolyte is used to mitigate migration. In other words, in a SECM experiment we assume transport in the solution phase is dominated by diffusion. Often a mediator is added to the electrolyte. This is ideally a well-behaved (chemically inert but electrochemically active) species that undergoes a reversible outer sphere redox reaction (ex. ferrocene). The mediator can also be generated in situ. This is especially relevant for applications in electrocatalysis where the  $\rm H^+/\rm H_2$  or  $\rm O_2/\rm OH^-$  redox couples are suitable mediators for the reaction of interest.

As an electrode, the probe consists of an exposed electronically conducting region surrounded by an insulating sheath. The two important characteristics of a probe for SECM is that the geometry of the exposed conducting (and nearby insulating) region should be well-defined, and the conducting region area should be "small". The criteria for "small" is that we require a steady state current response at the tip when polarized to perform a redox reaction in the bulk electrolyte. This occurs when diffusional distances (in the time frame of an experiment) are on the order of the size of the tip.<sup>[232](#page-38-0)</sup> A 25  $\mu \mathrm{m}$ diameter platinum wire sealed in a glass capillary with the tip

sharpened to form an inlaid disk geometry is a common example of a large SECM probe. The smaller the probe, the better the spatial and temporal resolution of the instrument, but the more difficult it is to control the geometry of the tip. A well-defined geometry is needed to get the most accurate quantitative information. Methods for fabricating ultramicroelectrodes (UME) and nanoelectrodes are described else-where.<sup>[233](#page-38-0)</sup>

In most SECM experiments, the probe is biased to perform diffusion limited redox chemistry of solution species. Since the tip is small, a spherical concentration gradient develops in the vicinity of the tip where reactants are depleted. This concentration profile extends out 10 tip radii, after which conditions are indistinguishable from the bulk solution. The diffusion limited steady state current measured by the probe in the bulk solution is given by eq 1:

$$
i_{\rm ss} = 4n{\rm FCDa} \tag{1}
$$

where  $n$  is the number of electrons transferred,  $F$  is Faraday's constant, C is the concentration of the redox species in the bulk, D its diffusion coefficient, and a is the radius of the electrode. Dividing eq 1 by the area of the disk, we see that the transport limited current density is inversely proportional to the radius of the electrode. Thus,  $1/a$  is analogous to the square root of rotation rate in the RDE. In other words, using a tip that is twice as small allows one to measure kinetics that are twice as fast. In an RDE experiment, one would need to rotate the electrode four times as fast to achieve the same effect.

When the tip is closer than roughly 10 radii to the substrate, the probe current is affected by the electrochemical properties of the substrate. In the simplest case of an insulating inert substrate, the topology of the substrate physically blocks the diffusion of the mediator to the probe, resulting in a decrease in the current, otherwise known as negative feedback. Two common experiments are (1) recording the probe current as a function of separation distance or  $(2)$  the probe current as a function of lateral position. The first is known as a probe approach curve (PAC). For a flat insulating substrate, this can be used to characterize the geometry of the probe, especially useful for nano sized tips. The second is an imaging experiment used to map the topography of an insulating substrate, taller features blocking more diffusive current. Because of diffusional broadening, SECM cannot match the spatial resolution of other scanning probe microscopies such as AFM, though it has the advantage of being in situ and noncontact.

On the other hand, when the probe nears a conducting substrate held at open circuit, the current will generally increase. This surprising phenomenon can be explained as follows. The potential of the substrate is pined by the formal potential and concentration of the bulk mediator. In the vicinity of the probe, the concentration of the mediator is inverted (the opposite redox form dominates). Therefore, for a redox reaction at the probe, there is a slight overpotential for the reverse reaction at the substrate due to concentration differences. The electronic conductivity of the substrate allows nonlocal electron transfer to regenerate the mediator such that the probe sees an effective increase in the flux of reactant, and this corresponds to an increased current. Performing a PAC to a conducting surface or particle allows one to measure the electron transfer rate constant. For complex substrates, careful design of the experiment is necessary to decouple effects of positive and negative feedback.

The mediator can also be used as a reducing or oxidizing agent generated in situ by the probe and used to titrate reaction intermediates or facilitate electron transfer reactions at the substrate. After its interaction with the substrate, the mediator diffuses back to the probe to "report" the results. The probe current is related to kinetics of reactions at the substrate, and its integral is related to the quantity of active sites. The response is generally described in terms of dimensionless parameters, which can be used to extract rate constants and surface coverages for model systems. More complex systems require modeling using finite element analysis software. Some of the classic and fundamental SECM experiments have so far been described. However, SECM is most powerful when the user adapts the platform to suite the particular experimental needs. Below we describe several noteworthy examples of these principles being applied in the field of electrocatalysis.

4.2. Applications of SECM in Electrocatalysis. Nanoparticles (NPs) are commonly utilized for electrocatalysis to take advantage of high surface area, short transport distances, and sometimes favorable nanoscale effects. New insights into NP structure−activity relationships are needed to optimize catalyst performance. However, results from studies of bulk NP ensembles can be obscured by their broad size distributions, variations in morphologies, crystallographic orientations, and

thus their intrinsic activities. Though significantly challenging, single NP experiments are needed to overcome these obstacles and provide a reliable and controlled environment to study structure−activity relationships. Recent advancements in SECM have opened the door to many such studies.

A modern application of the SG/TC mode of SECM, to study the hydrogen evolution reaction (HER) at a single 10− 20 nm sized gold nanoparticle (Au NP) is shown schematically in [Figure 8A](#page-20-0) (left). $234$  This experiment, by the Mirkin group, necessitates a substrate that is flat, electrochemically inert, yet at the same time capable of making electrical connection to the Au NP. To achieve this, the authors utilized HOPG passivated with a layer of polyphenylene. The polyphenylene layer blocks redox reactions with the HOPG while still allowing electron transfer between the Au NP and the HOPG. In the terminology of SECM, negative feedback is observed over the insulating polyphenylene layer, while positive feedback is observed near the Au NP. This is an important detail, as it makes it possible to use the FB mode of SECM to image the substrate, find a nanoparticle, and position the probe for the experiment. Once the probe is positioned above the Au NP as in [Figure 8](#page-20-0)A (left), the Au NP can be activated to perform the HER by biasing the substrate. The probe is maintained at a high enough anodic potential to perform a diffusion-limited HOR. In this configuration, the hydrogen produced by the Au NP is collected by the probe. The probe current is a direct measure of Au NP activity. By varying the driving force (bias potential), a Tafel plot for HER at a single Au NP is obtained ([Figure 8](#page-20-0)A, right).

Although studies of hydrogen evolution at single NPs have been performed previously, notably, the pioneering work of Stimming,<sup>235</sup> the above-described work has achieved several advancements. First, the feedback mode of SECM made it possible to image the substrate and select a NP to study. In the Stimming experiment, the NP was both deposited and analyzed by the STM probe. The ability to decouple NP synthesis and analysis greatly expands control over the variables that affect NP activity. Second, in part due to the controlled probe geometry, SECM offers more quantitative information. In a follow up paper, the authors applied SECM theory and modeled the effects of various NP geometries to extract a collection efficiency correction for measured activity and to estimate NP size more accurately using the approach curve experiment.<sup>[236](#page-39-0)</sup>

One of the primary variables of interest for elucidating structure−activity relationships is particle size. The approach curve experiment has been performed at variously sized single Pt NPs, shown in [Figure 8B](#page-20-0).<sup>[237](#page-39-0)</sup> The probe, positioned above the NP, is approached closer while recording the probe current. Decreasing the tip to particle separation distance, d, allows faster kinetics to be interrogated since diffusion distances are reduced. Using this approach, Bard et al. measured a very high effective rate constant of HOR,  $k_{\text{eff}}^0$  > 2 cm/s. Importantly, the study also reports a minimal variation in HOR activity for the NP size range examined. Indeed, there are conflicting conclusions in the literature as to the effect of NP size on electrocatalytic activity. The authors point out that results can be affected by polydispersity in ensemble studies, artificial effects from techniques which are not in situ, or contaminants in poorly controlled single NP experiments. Advanced nanoscale SECM has made it possible to remedy many of these challenges and provide reliable data for elucidating structure activity relationships in electrocatalysis.

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Specifically, SECM has been used to measure the geometric properties and catalytic activity of single NPs, even when deposited as an ensemble on a substrate.[234,](#page-38-0)[237](#page-39-0) Further, transport limitations are mitigated so that electron transfer kinetics are specifically measured in situ and with good control of environmental effects.

To gain a worthwhile fundamental understanding, kinetic studies must be taken further. Heterogeneous catalysis is often a multistep process that proceeds via adsorbed intermediates. Although adsorbates and surface structure are critical to electrocatalysis, there are very few in situ and surface selective techniques available to unveil such details. Spectroscopic methods, in general, provide a bulk measurement. Effects from catalyst crystal structure or electrolyte processes obscure surface information. In-depth data processing and interpretation are necessary to tease out surface information from the total signal. When compared to challenging in situ/operando TEM and X-ray methods, SECM, coupled with traditional electrochemical methods, serves as an ideal platform to investigate electrocatalysts under operating conditions. A major constraint, however, is the electrode serving as both the substrate and the measurement tool. For a controlled study, it is necessary to decouple electrode operation from the measurement on the electrode. By utilizing a second electrode, the probe, SECM retains the benefits of classic electrochemical methods and introduces additional and powerful capabilities. Specifically, the surface interrogation mode of SECM (SI-SECM) is highly surface selective and capable of quantifying both coverages and reactivity of adsorbates on catalytic surfaces. It has been utilized to elucidate incipient oxides on Au that are undetected by  $CV<sub>i</sub>$  used to show that hydrogen coverage follows a Frumkin isotherm with respect to the applied potential on a Ni HER catalyst, $238$  and applied to extended surfaces to identify multiple unique adsorbates during water oxidation on hematite.<sup>239,240</sup>

SI-SEM is best utilized as a tool in broader catalyst studies. A recent example is highlighted in [Figure 8](#page-20-0)C.<sup>[241](#page-39-0)</sup> The inset shows a schematic of the SI-SECM experiment. The catalyst ink was deposited on a small carbon substrate electrode to eliminate the nonlocal electron transfer, and the probe was positioned above and close to the catalyst. The substrate electrode was activated for the ORR in alkaline media at various potentials ( $x$ ) axis of [Figure 8C](#page-20-0)), generating adsorbed intermediates. To measure their coverage, adsorbates were titrated with an oxidizing agent generated by the probe in situ. Ferrocene is oxidized by the probe, which, in turn, oxidizes the adsorbates. A positive feedback loop forms as adsorbates are depleted. Integrating the tip current (after subtracting the negative feedback background and accounting for collection efficiency) yields the active site density ( $y$  axis of [Figure 8C](#page-20-0)).

This experiment was carried out for novel ORR catalyst structure/composition and used to investigate the synergistic effects of bimetallic catalysts. Specifically, it was found that Fe−Co bimetallic catalysts could achieve a significantly improved ORR performance, relative to either monometallic form and comparable performance to commercial Pt/C. SI-SECM results [\(Figure 8](#page-20-0)C) provide several important pieces of kinetic and mechanistic information to this end. First, it can be seen that adsorbates appear ∼100 mV before any appreciable ORR current is observed at the substrate in both CV and RDE experiments. An increase in the driving force corresponds to both an increase in activity and an increase in surface coverage of active sites. However, at a sufficiently high driving force, the

coverage reaches a saturation value. Notably, the bimetallic catalyst exhibits both a lower onset potential for adsorbates and a higher saturation coverage. Introducing a time delay between the activation and titration steps provides information about the binding rate between  $Fe(II)/Co(II)$  and O<sub>2</sub> by plotting the logarithmic active site number against the delay time. A good linear fit confirms first-order reaction kinetics as expected for this process. It was found that the bimetallic catalyst exhibited a much faster binding rate to oxygen in contrast to monometallic catalysts. In conjunction with XPS, SEM, and other electrochemical data, the SI-SECM results were used to infer important mechanistic insights. Specifically, it suggested that the Fe(II) is the primary ORR active site with  $Co(II)$  serving as an inducer to modulate the electronic structure of iron.

Most strategies for moving away from Pt-based catalysts include delegating the various catalytic tasks to multiple metal centers. In the absence of complete structure−activity understanding, large catalyst libraries must be developed and screened for optimizing the ORR performance. This is generally a time-consuming, labor intensive process to prepare nanoparticles and evaluate their activity. One of the classic applications of SECM in electrocatalysis is for high-throughput screening of multicomponent catalyst arrays.<sup>[242](#page-39-0)</sup> In this configuration, the probe is positioned close to a substrate onto which an ORR catalyst array is patterned. The probe electrode is biased to electrogenerate oxygen which diffuses locally to the substrate. Since the electrolyte is purged of oxygen, only the catalyst sample immediately below the probe will contribute to the substrate current. An activity map of Pd− Co bimetallic alloys, such as that shown in [Figure 8E](#page-20-0), is obtained in a matter of minutes by scanning the probe while maintaining a cathodic bias at the substrate. The optimal catalyst composition is easily seen as the sample containing 10−30% Co, which "lights up" the brightest in the ORR activity map measured in acidic media.

It is important to note that a promising catalyst, as measured by an activity map or even RDE experiment does, not always correspond to good performance or durability in a practical device, such as a fuel cell. Though there are many reaction and device specific reasons, an important general consideration is that high activity does not imply high selectivity. This is particularly important in complex or multi reactant catalysis such as methanol oxidation or  $CO<sub>2</sub>$  reduction. To this end, our group has coupled scanning differential electrochemical mass spectrometry (SDEMS) with SECM.<sup>[243](#page-39-0)</sup> While SECM measures catalyst electrochemical activity, SDEMS is used to identify and measure gaseous reaction products and byproducts. In a single high throughput scan, both catalyst activity and selectivity can be measured. This is exemplified for a binary Pt−Ru catalyst array for the methanol oxidation reaction pictured in [Figure 8D](#page-20-0) (top left). The array was produced by simultaneously sputtering Pt and Ru onto a Ticoated Si wafer. The composition ranged from 80:20 Pt/Ru at  $x = 0$  to 20:80 Pt/Ru at  $x = 40$  mm. The activity line scan is shown (left), while SDEMS monitored  $CO_2$  evolution at  $m/z$ = 44 (right). The peak intensities correlate very well to the position of the catalyst and between both measurements, validating the methodology. Importantly, it can be seen that the maximum activity occurs at a higher Ru composition compared to the maximum of  $CO<sub>2</sub>$  production. This experiment highlights that activity alone does not tell the complete story; measurement of reaction products is also an

<span id="page-23-0"></span>

Figure 9. Differential electrochemical mass spectrometry (DEMS) configuration and cell designs. (A) Schematic of a DEMS setup. (B) Crosssectional view of a dual-thin layer flow DEMS cell; WE represents the thin-film working electrode while counter and reference electrodes are not shown. (C) An outlook (left) and schematic (right) of a double-band-electrode channel flow DEMS cell. (D) A block diagram of SDEMS-SECM system; The bottom left part shows a photograph of Pt strips on a Ti/Si substrate and their corresponding DEMS  $(m/z = 2)$  and SECM mapping results. (E) Side and top view of DEMS setup coupled with ATR-FTIR spectroscopy; The In-1 and In-2 represent two inlets for electrolyte while CE-1 and CE-2 are connected to two counter electrodes; The RE and Out stand for reference electrode and electrolyte outlet. (F) Schematic diagram (left) and photo (right) of a high-temperature DEMS cell: (1) working electrode, (2) gas inlet nozzle, (3) supporting sieve, (4) membrane, (5) electric contact, (8) glass filter, (9) counter electrode, (10) Luggin capillary (11) reference electrode (13) and (15) thermocouples. (A) is reprinted with permission from ref [257.](#page-39-0) Copyright 2012 Springer. (B, C) are reprinted with permission from refs [258](#page-39-0), [263](#page-39-0), respectively. Copyright by the authors 2020, 2010 American Chemistry Society. (D) is reprinted with permission from ref [243](#page-39-0), respectively, Copyright 2013, American Institute of Physics. (E, F) are reprinted with permission from refs [259,268](#page-39-0). Copyright 2007, 2015 Elsevier.

important metric for assessing catalyst selectivity. Coupled SECM and SDEMS is thus a powerful tool for both catalyst screening and fundamental studies.

In summary, SECM is an advanced in situ electroanalytical technique with high spatial resolution, making it well suited for applications in electrocatalysis. It is worth mentioning that related electrochemical probe/pipet techniques exist, with new ones emerging.<sup>[244](#page-39-0),[245](#page-39-0)</sup> Of these, scanning electrochemical cell microscopy (SECCM) has recently enabled several novel and exciting electrocatalysis studies.<sup>246−[248](#page-39-0)</sup> Introduced by the Unwin group in  $2010$ ,<sup>249</sup> SECCM is showing significant

promise as it begins to mature. Compared to spectroscopy and microscopy, SECM, SECCM, and related techniques are still in their infancy. As they become more widely accessible to general research groups, electrochemical probe techniques will likely make a large impact in electrocatalysis research.

# 5. DIFFERENTIAL ELECTROCHEMICAL MASS SPECTROSCOPY (DEMS)

Bruckenstein and Gadde reported the first application of mass spectrometry in an electrochemical system in the 1970s by collecting electrochemically generated oxygen via a hydro-

<span id="page-24-0"></span>

Figure 10. Applications of DEMS in electrocatalytic reactions. (A) Scan rate dependent mass spectrometric cyclic voltammograms ( $m/z = 44$ ) for the oxidation of preadsorbed CO on Pt and on a Ru-modified Pt polycrystalline electrode  $(\theta = 0.25)$  in 0.1 M H<sub>2</sub>SO<sub>4</sub>. (B) Cyclic voltammograms and corresponding CO<sub>2</sub> mass signals ( $m/z = 44$ ) for formate oxidation on a Pt electrode sputtered on a porous Teflon membrane, in 0.1 M<br>HCOONa and 1 M NaOH at a scan rate 10 mV/s. (C) Time response of methanol oxidation o  ${}^{12}CH_3OH/{}^{13}CH_3OH$  and 0.5 M H<sub>2</sub>SO<sub>4</sub>. (D) DEMS spectra for CO<sub>2</sub> formation with Pt/C and carbon as cathode, respectively. (E) Potential-step induced faradaic current and mass signals ( $m/z = 2$ , 29, 27, and 44) on Pt (510) and Pt(553) in 0.1 M acetone and 0.1 M H<sub>2</sub>SO<sub>4</sub> solution. (F) DEMS results of oxygen evolution at Co<sub>3</sub>O<sub>4</sub> particles (40 µm) in 0.5 M KOH solution containing 2 wt % H2  $^{18}$ O (a–d); calculated  $^{18}$ O  $^{16}$ O content as a function of scan numbers obtained from Co<sub>3</sub>O<sub>4</sub> particles (40  $\mu$ m) (e) Co<sub>3</sub>O<sub>4</sub> particles (50 nm) (f). (G) DEMS results of partial CO<sub>2</sub> reduction products, including methane, ethylene, propionaldehyde, and n-propanol in CO<sub>2</sub>-saturated 0.1 M CsHCO<sub>3</sub> solution with scan rate of 1 mV/s; CO<sub>2</sub>, H2, CO, acetaldehyde, ethanol and allyl alcohol are not shown here. (A, B) are reprinted with permission from refs [254,](#page-39-0) [280](#page-40-0), respectively. Copyright by the authors 2015, 2012 American Chemistry Society. (C) is reprinted with permission from ref [265](#page-39-0). Copyright 2017 Elsevier. (D) is reprinted with permission from ref [306](#page-40-0). Copyright 2009 Elsevier. (E) is reprinted with permission from ref [315](#page-41-0), respectively, Copyright 2019, Springer Nature. (F) is reprinted with permission from ref [318](#page-41-0), Copyright 2017 Royal Society of Chemistry. (G) is reprinted with permission from ref [323](#page-41-0), Copyright 2018 American Chemistry Society.

phobic porous Teflon electrode and then analyzing it with a mass spectrometer.[250](#page-39-0) Later, Wolter and Heitbaum used a similar inlet system but improved the vacuum system with two differentially pumped stages, which significantly reduced time constant to less than 1  $s$ .<sup>[251](#page-39-0)</sup> This improvement enabled online detection of gas evolution rates during cyclic voltammograms. The term "differential" was introduced to stress the difference

from the former integrating approach developed by Bruckenstein and Gadde.

5.1. DEMS Configuration and Cell Designs. A typical DEMS instrument is composed of an electrochemical cell, vacuum system (two differential pumps), and quadrupole mass spectrometer ([Figure 9](#page-23-0)A).<sup>[257](#page-39-0)</sup> A microporous Teflon membrane is commonly employed to interface the electrochemical cell with the vacuum system to allow transport of the gas molecules or volatile products into the vacuum chamber while preventing electrolyte leakage. As the key component in DEMS setup, the electrochemical cell has been tailored with four general configurations for different working conditions, namely, conventional cell, thin-layer cell, dual thin-layer flow cell, and capillary inlet.[252](#page-39-0)−[257](#page-39-0) The conventional cell exhibits a fast response but requires a porous working electrode deposited onto the porous PTFE membrane. To mitigate this limitation, a thin-layer cell was developed that enabled the use of bulk electrodes. A derivative of the thin-layer cell, the dual thin-layer flow cell ([Figure 9](#page-23-0)B),<sup>258</sup> consists of two separate chambers and can be coupled to other analytical tools, such as infrared spectroscopy<sup>259</sup> and the electrochemical quartz crystal microbalance (EQCM).<sup>260</sup> A capillary inlet configuration is typically used for scanning DEMS  $(SDEMS)<sup>261</sup>$  in which a probe (PTFE tubing covered by Teflon film) connected to the vacuum system is scanned to sample the region above the surface of the bulk working electrode. While this design enables measurements of local activity and combinatorial screening, it suffers from slow response and challenges in quantification.<sup>[262](#page-39-0)</sup>

In addition to the above-mentioned prototypes, the research community has witnessed impressive developments of DEMS. We recently developed a double-band-electrode channel flow DEMS cell,<sup>263</sup> comprising a working and detecting electrode separated by a porous PTFE membrane [\(Figure 9](#page-23-0)C), with a configuration similar to a rotating ring-disk electrode (RRDE). As volatile species can be monitored by mass spectrometry, this DEMS cell provides additional opportunity to selectively probe nonvolatile products with the detecting (downstream) electrode. We also developed an exchangeable-tip scanning probe that coupled both scanning DEMS and scanning electrochemical microscopy (SDEMS-SECM) ([Figure](#page-23-0) [9](#page-23-0)D).<sup>243,[264](#page-39-0)</sup> While the SDEMS part could spatially resolve the generation of volatile species on the surface of a substrate electrode, the SECM portion could function as a detector to examine the overall electrochemical activity of the surface and ensure the leveling of the sample (critical for quantification). This technique proved effective for combinatorial screening of efficient electrocatalysts for direct alcohol fuel cells ([Figure 9](#page-23-0)D inset and [Figure 8](#page-20-0)D). Behm et al.<sup>[259](#page-39-0)</sup> designed a novel setup based on attenuated total reflection-infrared spectroscopy (ATR-FTIRS) and dual thin-layer flow cell [\(Figure 9E](#page-23-0)), which allowed online examination of both adsorbed intermediate species and volatile reaction products. The application of this technique for mechanistic studies has been demon-strated in the oxidation of CO and methanol.<sup>259,[265](#page-39-0)</sup>

Although the aforementioned designs have improved understanding of reaction kinetics and mechanisms, they posed additional challenges for operando characterization of fuel cells under operating conditions. Pastor et al. demonstrated the use of a new DEMS cell configuration to study the alcohol oxidation reaction with a gas diffusion electrode usually used in fuel cells.<sup>[266](#page-39-0)</sup> While the use of a gas diffusion electrode represents an improvement over typically used thin film electrodes, the experimental conditions are still far removed from real operating devices under elevated temperatures with humidified gas reactants. Behm et al.<sup>[267](#page-39-0)</sup> built a thin-layer channel flow cell with a poly-ether-ether-ketone (PEEK) polymer, enabling studies at high temperatures and pressures of up to 120 °C and 3 bar, respectively. Nevertheless, this device could only work with liquid electrolytes. In an attempt to develop a DEMS setup that could work under conditions

close to the high temperature polymer electrolyte fuel cells, Niether et al.[268](#page-39-0) proposed a new experimental DEMS cell which enabled the study of gas-phase reactions at temperatures between 120 and 180 °C [\(Figure 9F](#page-23-0)).

5.2. Applications of DEMS in Electrocatalytic Reactions. Starting from its introduction, DEMS has been widely employed in the electrochemical community, especially for electrocatalytic reactions. As mentioned above, DEMS can provide unique chemical identification of volatile reaction products not only for qualitative analysis but also semiquantitative or even quantitative measurements. Quantitative DEMS analysis offers deeper insights into reaction selectivity and reaction mechanisms.<sup>[269](#page-39-0)</sup> However, the key to such studies hinges on the choice of an appropriate calibration protocol, which can bridge the ionic mass signals and corresponding faradaic current. Here, we provide a brief overview of the applications of DEMS in electrocatalysis. Interested readers are referred to other reports for a detailed description of the techniques.<sup>256,[270](#page-39-0)</sup>

Fuel cells represent one of the most promising energy conversion devices for their high energy conversion efficiency and pollution-free emission.<sup>[271](#page-39-0)</sup> Liquid fuels based on formic acid and alcohols (methanol and ethanol) have been intensively investigated since they are inexpensive and easy to handle and transport.<sup>[272](#page-39-0)</sup> Since oxidation of these small organic molecules (SOMs) involves formation of volatile products, e.g.,  $CO<sub>2</sub>$ , DEMS provides a unique perspective to investigate the reaction kinetics and mechanisms.

Since the oxidation of carbon-containing liquid fuels involves the unavoidable formation of adsorbed CO species  $(CO_{ads})$ ,<sup>273</sup> it is essential to elucidate the reaction kinetics and mechanisms of CO oxidation for designing effective CO-tolerant electrocatalysts.<sup>[274](#page-40-0)</sup> Recently, we observed the presence of multiple peaks when investigating the potentiodynamic oxidation of preadsorbed CO on the surface of Pt and Pt−Ru electrodes with DEMS [\(Figure 10](#page-24-0)A).<sup>254</sup> The peak splitting became more evident when the sweep rate was decreased to 0.1 mV/s. This observation could only be captured by DEMS since the faradaic current signals for CO oxidation are complicated by the pseudocapacitive responses, and the resulting faradic currents at such a low sweep rate are essentially unmeasurable. Assisted with simulations, the peak multiplicity was proposed to originate from competitive adsorption of CO and OH species, leading to suppressed CO repopulation from less active sites to more active sites on different facets or regions.<sup>[254](#page-39-0)</sup>

DEMS has also played a key role for studying the mechanism of the formic acid oxidation reaction (FAOR). In an  $^{18}$ O labeling experiment by Wolter et al.,  $^{275}$  $^{275}$  $^{275}$  DEMS provided direct evidence for the dual pathway mechanism of the FAOR, in which direct oxidation, without loss of oxygen, and indirect oxidation, via a strongly adsorbed intermediate, occur in parallel. Additionally, the reaction kinetics of the FAOR have been extensively investigated on Pt single-crystal electrodes under different reaction conditions<sup>276</sup> and with different secondary adsorbed metal modifications.<sup>[277](#page-40-0)−[279](#page-40-0)</sup> Despite intensive studies in acidic media, the formate oxidation reaction in alkaline media remained largely unexplored. We reported on a mechanistic study of the oxidation of formate on Pt in 1 M NaOH.<sup>[280](#page-40-0)</sup> As shown in [Figure 10](#page-24-0)B, the use of DEMS enabled deconvolution of formate oxidation signals  $(CO<sub>2</sub>$  formation) from the cyclic voltametric features of Pt  $(H-H)$ 

region, double layer region, and Pt oxide formation/reduction) as the HCOO<sup>−</sup> oxidation is rather sluggish.

The oxidation of methanol is more kinetically challenging as the reaction involves six electrons, resulting in multiple intermediates and reaction products.<sup> $272$ </sup> The broad distribution of intermediates and products also provides an opportunity for operando DEMS studies. DEMS has been used to elucidate the nature of adsorbates $^{281}$  $^{281}$  $^{281}$  and identify the reaction pathways of methanol oxidation.<sup>[282,283](#page-40-0)</sup> As presented in [Figure 10C](#page-24-0), the combination of DEMS with electrochemical ATR-FTIR provided rich kinetic information as it enabled online quantification of the total amount of  $CO<sub>2</sub>$  generated from the methanol oxidation as well as the amount of  $CO<sub>2</sub>$  produced via  $\mathrm{CO}_{\mathrm{ads}}$  from the indirect pathway. $^{265}$  $^{265}$  $^{265}$  Those results indicated that a significant amount of  $CO_2$  was produced via non- $CO_{ads}$ pathway and that the contribution of  $CO<sub>ads</sub>$  to  $CO<sub>2</sub>$  decreased as the potential increased from 0.60 to 0.75 V. DEMS has been used to investigate multiple factors that could influence the methanol oxidation reaction (MOR), including cocatalytic effects of Pt-electrodes modified by other elements, $284$  the effects of composition,  $285$  particle size,  $286$  temperature,  $269$ anion adsorption,<sup>287</sup> mass transport,<sup>[288](#page-40-0)–[290](#page-40-0)</sup> and the influence of reactive side products.<sup>[291](#page-40-0)</sup>

The scission of the C−C bond in ethanol is kinetically extremely challenging, resulting in significantly low current efficiency and wide distribution of products.<sup>[256](#page-39-0),[292](#page-40-0)</sup> Hence, DEMS plays a key role in detecting and analyzing the incomplete products of ethanol oxidation, providing valuable information for mechanistic studies and catalyst optimization. Early DEMS studies have focused on the oxidation of adsorbed ethanol.[293](#page-40-0)<sup>−</sup>[295](#page-40-0) Similar to the oxidation of methanol, DEMS has been widely applied to study the impact of multiple factors on reaction mechanisms, kinetics and selectivity, e.g., surface structure,<sup>296</sup> doping modifications,<sup>297</sup> H- and OH-adsorbates on the electrode,  $^{298}$  $^{298}$  $^{298}$  pH, $^{299}$  $^{299}$  $^{299}$  mass transport, $^{300}$  $^{300}$  $^{300}$  and others. Compared to acidic media, C−C bond scission was reported to be less demanding in alkaline media.<sup>[301](#page-40-0)</sup> The electrooxidation of ethanol at different catalyst metals (Pt, Pd, Au, and Ni) has been systematically investigated with DEMS in alkaline media.<sup>[302](#page-40-0)</sup>

In addition to the challenging kinetics of oxidation of liquid fuels, the corrosion of the carbon support is another important issue affecting the long-term durability of fuel cells.  $303,304$  $303,304$  $303,304$  Since  $CO<sub>2</sub>$  is a major product during the corrosion of carbon, DEMS presents clear advantages for monitoring carbon corrosion in hydrogen fuel cells.<sup>[305](#page-40-0)</sup> Lane et al.<sup>[306](#page-40-0)</sup> reported that the presence of Pt catalysts could catalyze the corrosion of carbon supports at potentials as low as 0.5 V, as revealed by DEMS results ([Figure 10](#page-24-0)D). This observation pointed toward the critical importance of choosing the proper support/substrate to enhance catalyst durability. Behm et al.<sup>[307](#page-40-0)</sup> employed DEMS to examine the formation of  $CO<sub>2</sub>$  during CV scans on two different carbons under different treatments, and found that the graphitized carbon with low porosity and oxygen content, was most resistive toward corrosion. Other DEMS studies have also provided insights into mechanisms of carbon corrosion and guidance on designing more durable supports.<sup>[308](#page-40-0)-[310](#page-41-0)</sup>

Besides fuel cells, DEMS has also been used for the elucidation of other electrocatalytic reaction mechanisms, including oxidation/reduction of other small molecules.[311](#page-41-0)−[315](#page-41-0) Recently, Koper et al. $315$  studied the surface structuresensitivity of acetone reduction on different Pt single crystal electrodes. The DEMS results for acetone reduction on

Pt(110) steps ([Figure 10](#page-24-0)E) revealed that it could be reduced to propane on a Pt(510)  $[(n + 1)(111) \times (110), n = 5]$ , as evidenced by the strong fragment signals at  $m/z = 29$ , 27, and 44. In contrast, only negligible propane signals were observed on a Pt(553)  $[(n-1)(111) \times (110)$ ,  $n = 5]$  stepped surface, indicating that the main product on  $Pt(553)$  should be 2propanol, which was further supported by in situ FTIR measurements. Such a reaction selectivity was ascribed to the medium and low generalized coordination numbers on Pt(510) and Pt(553), respectively.

Water oxidation in alkaline media represents an important reaction for solar fuel-based energy conversion, but the reaction mechanisms still remain debatable.[316](#page-41-0),[317](#page-41-0) On the basis of DEMS results with 18O isotope labeling [\(Figure 10](#page-24-0)F), Baltruschat et al.<sup>[318](#page-41-0)</sup> proposed that the oxide layer of  $Co<sub>3</sub>O<sub>4</sub>$ nanoparticles participates in the oxygen evolution reaction (OER) via a lattice oxygen exchange process and only about 10−30% of the surface atoms participate in the OER active sites. As reported by Strasser et al.,<sup>[319](#page-41-0)</sup> DEMS enabled the operando quantitative deconvolution of charges injected into Ni−Fe hydroxide electrocatalysts for the OER. Nocera et al.<sup>[320](#page-41-0)</sup> observed the emergence of a significant amount of  $CO<sub>2</sub>$  with  $O<sub>2</sub>$  evolution during water oxidation, suggesting that the glassy carbon electrode is likely corroded under water oxidation conditions.

 $CO<sub>2</sub>$  reduction is another interesting, though challenging, topic given the broad range of reaction products, especially when using copper electrocatalysts.<sup>[321](#page-41-0)</sup> However, product quantification has relied heavily on gas chromatography (GC) for gaseous products, and high-performance liquid chromatography (HPLC) or nuclear magnetic resonance (NMR) for liquid products, making it essentially impossible to capture transient reaction kinetics. Recently, Mayrhofer et  $al.<sup>322</sup>$  $al.<sup>322</sup>$  $al.<sup>322</sup>$  reported a real-time observation of the gaseous products of  $CO<sub>2</sub>$  reduction with a thin-layer flow cell DEMS cell, which was used for rapid screening of bimetallic electrocatalysts. Nevertheless, species detection was limited to only gas products and the ill-defined collection efficiency made quantification difficult. Bell et al.<sup>[270](#page-39-0),[323](#page-41-0)</sup> developed a DEMS cell that allows real-time monitoring and rapid quantification of reactants and products generated in the vicinity of the cathode to probe the local reaction kinetics and mechanisms, including  $CO<sub>2</sub>$ ,  $H<sub>2</sub>$ ,  $CO$ , methane, ethylene, acetaldehyde, ethanol, allyl alcohol, propionaldehyde, and *n*-propanol [\(Figure 10G](#page-24-0)).

In summary, DEMS is playing a growingly important role in operando characterization of electrocatalytic reactions, representing a powerful technique for online and real-time identification and quantification of reaction species. With the development of novel DEMS cell designs and coupling to other advanced analytical tools, DEMS is enabling additional insights for elucidating reaction mechanisms and kinetic information, essential for electrocatalyst design and optimization. However, as a technique, DEMS has some limitations/ challenges that need to be carefully taken into account when designing the experiment and analyzing the data. (i) The use of a flow cell configuration can introduce mass transport effects on the quantification of reaction kinetics of methanol and ethanol oxidation<sup>289</sup> as well as their columbic efficiencies.<sup>[288](#page-40-0)</sup> An appropriate flow rate needs to be selected in order to not only minimize signal tailing, but also maximize signal-to-noise ratio. Additionally, since reaction intermediates, which can go through desorption/readsorption processes, are less likely to be readsorbed under fast mass transport conditions,<sup>[288](#page-40-0)</sup> great

<span id="page-27-0"></span>

Figure 11. Principles of electrochemical quartz microbalance (EQCM). (A) Schematic of the converse piezoelectric effect for an AT-cut quartz crystal; (B) illustration of the mass change detection at the quartz crystal by shifting the resonant frequency; (C) Butterworth-Van-Dyke (BVD) model of the QCM; (D) admittance locus for a QCM resonator based on the BVD model; (E) a typical Z−θ plot and (F) a typical B−G plot in the resonance region of a bare quartz crystal electrode in air. All color figures in (A−D) were replotted by the authors and adapted from black figures from ref [327](#page-41-0) with permission. Copyright 1992 American Chemistry Society. (E−F) are from data by the authors.

attention needs to be paid when comparing DEMS results with other coupled techniques involving stationary electrolytes, i.e., gas chromatography and infrared spectroscopy. (ii) Since the electrolyte composition exerts a significant influence on the ionization probability of a given species, the concentration effects of organic fuels on the calibration constants need to be taken into account when using DEMS for quantitative analysis.<sup>[290](#page-40-0)</sup> Meanwhile, changes in the electrolyte composition can alter background signals and affect the determination of calibration constants. A constant solution composition should be maintained during DEMS measurements and calibration processes. (iii) For electrocatalytic reactions involving gaseous reactants on bulk electrodes, DEMS capabilities could be compromised by the formation and accumulation of bubbles arising from the gas-saturated solution. $270$  (iv) DEMS studies of the oxidation of organic fuels in base are often restricted by the formation of carbonate in alkaline media. Although a recent study reported the monitoring of  $CO<sub>2</sub>$  generated in base by electrolyte acidification,  $308$  it remains a great challenge to perform real-time tracking of  $CO<sub>2</sub>$  formation on bulk electrodes in alkaline media. In summary, further improvements and optimizations in device design need to be made to tackle the above-mentioned challenges and limitations facing DEMS, in order to enable operando measurements of electrocatalytic reactions.

# 6. ELECTROCHEMICAL QUARTZ CRYSTAL MICROBALANCE (EQCM)

The high sensitivity of the electrochemical quartz crystal microbalance (EQCM) enables the real-time measurement of mass changes during dynamic electrochemical processes at the electrode surfaces. Both faradaic and nonfaradaic process can be detected via this in situ technique. The capability of sensing ingress and egress of neutral species at the electrode interface, in addition to the electron transfer processes, greatly benefits mechanistic studies. Besides the gravimetric response, EQCM can also be influenced by the liquid environment that the

electrode is in contact with. Insights of potential-dependent catalysts and solvent dynamics have been provided by fundamental studies conducted with the EQCM. Here, we focus on the utilization of the EQCM in studies of electrocatalytic processes with a brief introduction of the EQCM fundamentals. In particular, we will review the use of EQCM for monitoring catalyst deposition and displacement at the electrode surface, characterizing catalyst degradation processes, and studying the mechanisms for electrocatalysis that could not be examined solely by electrochemical responses. The discussion of the application of EQCM to other fields, such as mechanical and hydrodynamic characterization of energy storage electrodes, can be found in other review papers.<sup>[324,325](#page-41-0)</sup>

6.1. Fundamental Principles of EQCM. The fundamental principle of the EQCM relies on the converse piezoelectric effect as depicted in Figure 11A. An alternating electric field leads to the reorientation of the dipoles, thus inducing the oscillation of the quartz crystal in shear mode. A standing wave can be established when the wavelength is equal to twice the thickness of the crystal. When a rigid film is deposited on the quartz crystal surface, it will oscillate with the crystal as an addon thickness, illustrated in Figure 11B. As a result, the resonant frequency will decrease because of the increase in thickness. The mass change of the quartz crystal can be related to the frequency shift by the Sauerbrey equation:

$$
\Delta f = -\frac{2f_0^2}{A\sqrt{\rho_Q \mu_Q}} \Delta m \tag{2}
$$

where  $f_0$  is the fundamental resonant frequency of the quartz crystal, A is the piezoelectrically active area,  $\rho_{\Omega}$  is the density of quartz and  $\mu_{\rm O}$  is the shear modulus. The sensitivity constant is defined as  $C_f = \frac{2f}{A\sqrt{R}}$  $2f_0^2$  $=\frac{\omega_0}{A\sqrt{\rho_0\mu_0}}$ . The sensitivity of the quartz crystal electrode depends on the piezoelectrically active area and the initial resonant frequency governed by the thickness of the

<span id="page-28-0"></span>

Figure 12. Applications of EQCM in electrocatalysis. (A) Comparison of the growth rate of molybdenum sulfide films using different deposition methods: anodic electrolysis at 0.7 V (blue) with 2 mM [MoS<sub>4</sub>]<sup>2</sup>⋅, potential cycling from 0.7 to −0.4 V with 3.9 mM [MoS<sub>4</sub>]<sup>2⋅</sup> (black), potential cycling from 0.7 to −0.4 V with 2 mM [MoS<sub>4</sub>]<sup>2–</sup> (green), cathodic electrolysis at −0.4 V with 2 mM [MoS<sub>4</sub>]<sup>2–</sup> (red). Au substrate, NaClO<sub>4</sub> electrolyte (0.1 M), scan rate 50 mV/s for the deposition by potential cycling. (B) (i) Mass change of CoS<sub>x</sub>-film coated and bare Au electrodes at open circuit after adding 0.5 mL of LiClO<sub>4</sub> (0.1 M) DMF solution with and without 3 mM  $\text{Cu}^{2+}$  ions; (ii) EQCM-determined average mass changes per coulomb passed on Au and CoS<sub>x</sub>-film electrodes for 10 repetitions of chronoamperometry (CA) tests at −0.07 V and −0.47 V (vs NHE), respectively. Insets show the photos of Au and CoS<sub>y</sub>-film electrodes. (C) Loss of electrode mass per unit of real surface area (solid symbols) and the changes in the potential of surface oxide reduction peak (open symbols) as a function of number of potential cycles over the range of −0.03−1.37 V (scan rate 0.1 V s<sup>−</sup><sup>1</sup> ) for Pd alloys: (⧫, ◊) Pd−Rh, (▲, Δ) Pd−Pt, (●, ○) Pd−Pt−Rh. (D) Relationship between the mass change and electric charge density after potential steps to the potentials at CO prepeak region at pure Pt, Pt–Co, and Pt–Fe electrodes with CO<sub>ad</sub>. (E) Cyclic voltammetry with simultaneous frequency  $(\Delta f)$  and motional resistance  $(\Delta R_m)$  change measurements in 0.1 M CH<sub>3</sub>OH/0.1 M NaOH for (i) a bare Pt QCM electrode, (ii) a Pt QCM electrode modified with an ionomer film with dry thickness of 210  $\pm$  13 nm, and (iii) a Pt QCM electrode modified with an ionomer film with dry thickness of  $44 \pm 13$  nm. Scan rate:  $20$  mV/s. Solid line: forward scan. Broken line: reverse scan. (A) is reprinted with permission from ref 339. Copyright 2013 American Chemistry Society. (B) is reprinted with permission from ref [341.](#page-41-0) Copyright 2020 Wiley. (C, D) are reprinted with permission from refs [343](#page-41-0), [353,](#page-42-0) respectively, Copyright 2006, 2011 Elsevier. (D) is reprinted with permission from ref [365](#page-42-0). Copyright by the authors 2014 American Chemistry Society.

crystal. Both smaller area and thickness result in a higher sensitivity albeit at the expense of fragility of the crystal. The add-on mass should not be larger than 0.5% of the mass of the crystal to ensure the valid utilization of the Sauerbrey equation with a reasonable sensitivity.<sup>[326](#page-41-0)</sup> For a typical 5 MHz AT-cut quartz crystal electrode, the sensitivity constant can reach 56.6

Hz·cm<sup>2</sup>/ $\mu$ g with a detectable mass change at around 10 ng/ cm<sup>2</sup>.<sup>[327](#page-41-0)</sup> The sensitivity constant can be measured experimentally for a specific quartz crystal by electrochemical deposition of thin layers of metals, such as Ag and  $Cu$ ,<sup>[328](#page-41-0)–[331](#page-41-0)</sup> or with the specific adsorption of known species.<sup>[332](#page-41-0)</sup> Galvanostatic deposition is usually employed. The sensitivity factor can be <span id="page-29-0"></span>obtained by dividing the measured  $\Delta f$  by the  $\Delta m$  calculated from the charge passed for the duration of deposition.

The use of Sauerbrey equation implies several assumptions. It assumes that the deposited mass is rigid, the frequency change caused by the mass change on the electrode is independent of the radial distance from the center of the crystal, and the deposited film is distributed evenly on the electrode. However, the EQCM measurement is often nonideal. Typically, the EQCM is operated with the quartz crystal electrode immersed in a liquid electrolyte, which damps the oscillation. The frequency can be affected by the viscosity and the density of the electrolyte. If the mass deposited on the electrode remains rigid and the viscosity and the density of the electrolyte do not change during the electrochemical process, the Sauerbrey equation is generally valid. If a polymer film is deposited on the electrode, the viscoelastic effects of the polymer film with absorbed electrolyte need to be considered. Since energy dissipation results from the nonideal behavior of the viscoelastic film, a quantitative analysis with the Sauerbrey equation is not reliable under such conditions. Consequently, the analysis with polymer films has to be conducted with great caution.

Studying the oscillation, with an equivalent circuit, greatly helps characterization of deposited polymer films in contact with a liquid. The electrical equivalent of the EQCM, also known as the Butterworth-Van-Dyke (BVD) model [\(Figure](#page-27-0) [11](#page-27-0)C), is composed of a motional arm, with an inductor (inertial component), a capacitor (energy storing component) and a resistor (energy dissipation component) in series, and a static arm with a capacitor connecting in parallel. The static capacitor models the capacitance of the quartz crystal system, including the crystal holder and the cabling. A rigid mass deposition to the quartz crystal electrode can be described by adding an inductance to the system, while a viscous film deposition or liquid contact would increase the motional resistance, besides the inductance of the system.

With an electrical equivalent model, impedance analysis can be employed to diagnose the viscoelasticity of a polymer film and provide guidance for choosing the optimal deposition thickness for EQCM measurements. The obtained impedance  $(Z)$  and its inverse, admittance  $(Y)$ , can be expressed by eqs 3 and 4, respectively:

$$
Z = |Z|e^{i\theta} \tag{3}
$$

$$
Y = G + iB \tag{4}
$$

where  $\theta$  is the phase angle, G is the conductance, and B is the susceptance. An admittance plot for the corresponding BVD model is depicted in [Figure 11](#page-27-0)D. Each point on the admittance locus corresponds to the admittance at a certain frequency. The resonant frequency can be found at the cross section of the locus with the real axis ( $\theta = 0$ ). The static capacitance shifts the locus upward, resulting in two resonant frequencies,  $f_s$  and  $f_p$  (red points in [Figure 11](#page-27-0)D), also in the Z- $\theta$  plot ([Figure 11E](#page-27-0)). The point of maximum conductance,  $G_{\text{max}}$  is denoted as the blue point in [Figure 11](#page-27-0)D. The reciprocal of  $G_{\text{max}}$  gives the motional resistance  $(R_{\text{m}})$ . Therefore, an increase of the motional resistance, caused by the deposition of a polymer film or the immersion of the crystal in liquid, would lead to a smaller radius of the admittance locus. A resonant state cannot be reached if the radius of the locus is so small that it no longer crosses the real axis. As a result, the admittance locus is a convenient tool to verify whether a

deposited film in a liquid environment is appropriate for EQCM measurements. The quality factor, which describes the energy stored per energy lost during the oscillation, can be calculated from the frequency at  $G_{\text{max}}$  divided by the bandwidth  $f_2-f_1$  (eq 5), where  $f_1$  and  $f_2$  are the frequency at  $B_{\text{max}}$  and  $B_{\text{min}}$  respectively ([Figure 11](#page-27-0)D,F).

$$
Q = \frac{f_{Gmax}}{f_2 - f_1}
$$
\n<sup>(5)</sup>

Q can reach  $10^5$  for quartz crystals in air, while it often decreases to ∼3000 for quartz crystals immersed in water (liquids). For a more detailed explanation of the physics and limitations of EQCM, readers are referred to the authoritative review by Buttry and Ward.<sup>[327](#page-41-0)</sup>

6.2. Applications of EQCM in Electrocatalysis. As a gravimetric sensor with high sensitivity, EQCM is often used to control the electrochemical preparation of catalyst materials by deposition or displacement on the electrode.<sup>[333](#page-41-0)–[342](#page-41-0)</sup> Xie et al. synthesized uniform Pt thin-film catalysts for the methanol oxidation reaction, through galvanic displacement by Pt of electrodeposited insulating materials, such as  $Mn(OH)_2$ , [336](#page-41-0) CuCl,  $337$  and Cu(OH)<sub>2</sub>.  $338$  The EQCM was employed to identify those deposited insulating materials. Since the molar mass  $(M)$  per electron transferred  $(n)$  can be calculated by combining Faraday's law and the Sauerbrey equation (eq 6), the deposited material can be determined from the charge  $(\Delta Q)$  and the corresponding mass change  $(\Delta m)$ , with Faraday's constant  $(F)$ .

$$
\frac{M}{n} = \frac{\Delta m}{\Delta Q} F \tag{6}
$$

For example, in one of their studies,  $332$  the  $M/n$  of the deposit was calculated to be 46 g/mol, close to the theoretical value of  $MnO<sub>2</sub>$  converted from  $Mn^{2+}$  (86.94/2 = 43.5), which verified that the decrease in frequency at around 0.9 V in the positive scan was due to the deposition of  $MnO<sub>2</sub>$  on the electrode.

EQCM studies can help optimize deposition conditions. For instance, the growth mechanism of molybdenum sulfide catalysts for the hydrogen evolution reaction (HER) was investigated with the EQCM with various  $(MoS<sub>4</sub>)<sup>2−</sup>$ concentrations, potential cycling range and deposition method.[339](#page-41-0) The oxidative deposition, reductive deposition and partial corrosion processes were identified, respectively, by the potential dependent mass change profiles. It was found that the film growth speed could be controlled by varying the deposition method due to the different processes involved at different potentials, as shown by the time dependent mass change profile in [Figure 12](#page-28-0)A. During the activation process of the  $MoS<sub>3</sub>$  deposited by anodic electrolysis, the mass decrease corresponding to the removal of one equivalent of sulfur was also observed. The formation of amorphous  $MoS_{3-x}$  after the activation, was postulated as the active material during the HER catalysis, which was further confirmed by XPS measurements.

The displacement process at the electrode can also be identified by the EQCM with eq 6. He et al. recently reported on a  $Cu<sub>2</sub>S$  electrocatalyst for the reduction of  $CO<sub>2</sub>$  that was converted from a  $\cos_2$  template via an electrochemically driven cation exchange method.<sup>[341](#page-41-0)</sup> The mass changes at the open circuit potential, −0.07 V and −0.47 V, were compared on a gold electrode and a  $CoS<sub>2</sub>$  coated electrode. At open circuit (Figure  $12B(i)$ ), the mass increase on the unbiased  $CoS<sub>2</sub>$  coated electrode indicated the physical adsorption of  $Cu^{2+}$  ions on the  $CoS_2$  surface. When a potential was applied to the CoS<sub>2</sub> coated electrode, the mass increase was far lower than the theoretical mass increase for the Cu deposition process (eq 7). The difference in mass change per charge transferred on the electrode with and without  $CoS<sub>2</sub>$  [\(Figure](#page-28-0)  $12B(ii)$  $12B(ii)$ ) verified the displacement process. Different processes were also proposed on the electrode at  $-0.07$  V (loss of  $S^2$ <sup>-</sup>; eq 8) and  $-0.47$  V (loss of  $S^{2-}$  and cation exchange; eqs 8–10), according to the results presented in Figure  $12B(ii)$ .

$$
Cu^{2+} + 2e^- \rightarrow Cu \tag{7}
$$

$$
2Cu^{2+} + S_2^{2-} + 4e^- \rightarrow Cu_2S + S^{2-}
$$
 (8)

$$
Co^{2+} + 2e^- \rightarrow Co \tag{9}
$$

$$
2Cu^{2+} + Co \to 2Cu^{+} + Co^{2+}
$$
 (10)

Catalyst degradation can be directly detected by the EQCM by monitoring the mass change during consecutive potential cycles or long-term potentiostatic measurements. The dissolution of electrodeposited noble metals and their alloys during cyclic voltammetry in acidic media was systematically studied by Łukaszewski et al. $343$  The potential range, scan rate and the type of metal had a significant impact on the extent of dissolution. They found that Pt is more resistant to dissolution than Pd and Rh. [Figure 12C](#page-28-0) presents the loss of mass and the change of oxide reduction peak potential with increasing potential cycles for different Pd alloys.<sup>[343](#page-41-0)</sup> The alloys containing Pt exhibited a slower mass change after many cycles. This correlated with the enriched Pt content on the surface that was more difficult to be stripped, as determined from the peak potential of oxide reduction. The EQCM study of the dissolution of catalysts was further applied to electrodeposited  $Ru<sup>344</sup>$  $Ru<sup>344</sup>$  $Ru<sup>344</sup>$  a sputtered Pt alloy,<sup>[345](#page-41-0)</sup> drop-cast Pt/C nano-particles<sup>331,[346](#page-42-0)</sup> and non-noble metal catalysts.<sup>[347](#page-42-0),[348](#page-42-0)</sup> Thereinto, Moore et al.<sup>[331](#page-41-0)</sup> demonstrated via EQCM with potential cycling and potential holds, that the addition of  $IrO<sub>2</sub>$  to  $Pt/C$ mitigated the degradation of Pt/C catalyst at high potentials in proton exchange membrane fuel cells, because with  $IrO<sub>2</sub>$ , the oxygen evolution reaction would proceed, instead of the carbon corrosion and Pt dissolution. More recently, Luo et al. reported a Y-stabilized  $ZrO<sub>2</sub>$  (YSZ) catalysts for the nitrogen reduction reaction with insights into the deactivation mechanism.[349](#page-42-0) Unlike the dissolution case, a mass increase of the  $ZrO<sub>2</sub>$  catalyst was observed by the EQCM after longterm stability tests with drastically decreased catalytic activity, while both the mass and the activity of YSZ catalyst were stable. The mass increase was ascribed to the filling of O vacancies in the nanocomposite, which lowered the nitrogen reduction activity. The O-vacancy active sites of  $C@ZrO<sub>2</sub>$ catalyst were approximately quantified as  $0.672 \mu g/mg$  by EQCM.

In electrocatalysis, the use of EQCM not only facilitates the characterization of catalyst evolution on the electrode during the preparation or degradation processes, but also enables mechanistic studies of catalytic processes including intermediate adsorption and solvent dynamics in catalyst or membrane materials. The mass change detection on the electrode enables the tracking of electrochemically inert neutral species involved in the interfacial electrocatalysis, which cannot be carried out by other methods for intermediate detection, such as rotatingring disk electrode voltammetry and others.

The EQCM has been specifically applied to studies of electrochemical reactions with intermediate adsorption, such as the oxidation of CO and small organic molecules adsorbed on noble metal and alloy catalysts,[250](#page-39-0)[,335](#page-41-0),[350](#page-42-0)−[356](#page-42-0) the adsorption of oxygen species and anions on Pt-based electrodes during the oxygen reduction reaction,<sup>357,358</sup> and others. Combining the EQCM with double-potential chronoamperometry, Uchida et al. were able to quantitatively analyze the elementary steps of CO oxidation on Pt alloy electrodes.<sup>[353](#page-42-0)</sup> Potential steps were employed to analyze the prepeak and the main peak of CO oxidation, respectively. By plotting the mass change vs the increase in charge density,  $M/n$  values of the processes can be calculated, according to [eq 6.](#page-29-0) [Figure 12D](#page-28-0) presents an example for the analysis of the elementary reactions at potentials within the CO prepeak on Pt, Pt−Co, and Pt−Fe. A steep drop followed by a gradual decrease were found on all three surfaces, suggesting a similar mechanism. The  $M/n$  values of the two steps were determined to be −45 and −10, which can be ascribed to the loss of COOHad with one electron transferred (eq 11) and the oxidation of  $CO<sub>ad</sub>$  with the loss of two electrons (eq 12), respectively. They proposed that the removal of the small amounts of COOHad provides vacant sites that promote the second step, which involves the supply of  $H<sub>2</sub>O$ . The less negative slope for the second step might be due to the adsorption of  $H_2O$  and  $ClO_4^-$  from the solution.

$$
Pt-COOH_{ad} \to Pt + CO_2 + H^+ + e^{-\frac{M}{n}} = -45
$$
 (11)

$$
Pt-COad + H2O \rightarrow Pt + CO2 + 2H+ + 2e-\frac{M}{n} = -14
$$
\n(12)

The intercalation of ions and water molecules from the electrolyte into catalysts during electrocatalytic processes, which has no contribution to the electrochemical response, can also be detected by EQCM. In a study on electrodeposited cobalt for the oxygen evolution reaction by Hassan et al.,  $359$ different crystalline phases of deposited cobalt were distinguished by the different mass change behaviors during potential cycling. The greater mass increase of cobalt deposited without citrate, was attributed to the formation of  $\alpha$ -Co(OH)<sub>2</sub>, which has a larger interlayer spacing (7.9 Å) for the intercalation of H<sub>2</sub>O and OH<sup>-</sup>; than the more compact  $\beta$ - $Co(OH)$ <sub>2</sub> (4.6 Å), derived from  $\beta$ -Co deposited with citrate. Similar studies have also been applied to the investigation of ion incorporation and phase transformation in the layered structures of Co or Ni materials in electrocatalysis.<sup>[360](#page-42-0)–[364](#page-42-0)</sup>

The EQCM also provides real-time information on the solvent and ion dynamics on polymer modified electrodes during electrochemical processes. We employed the EQCM to study the ion exchange processes of an anion exchange membrane designed for alkaline fuel cells.[365](#page-42-0) Acoustic impedance analysis was conducted prior to the EQCM measurements to characterize the viscoelastic effects of the alkaline ionomer films immersed in water. The changes in both the frequency and motional resistance were monitored on a bare electrode and ionomer-coated electrodes ([Figure 12E](#page-28-0)), during the methanol oxidation reaction, where  $CO_3^2$  and HCOO<sup>-</sup> were locally generated at the electrode. The frequency change for the 210 nm film modified electrode (Figure  $12E(ii)$ ), due to the anion exchange in the film, was over 1 order of magnitude larger than that due to Pt-oxide formation at the bare electrode (Figure  $12E(i)$ ). Meanwhile, <span id="page-31-0"></span>the significantly greater decrease in motional resistance during methanol oxidation, on the 210 nm film modified electrode (Figure  $12E(ii)$ ), compared to that on a 44 nm film modified electrode ([Figure 12](#page-28-0)E(iii)), reflected viscoelastic changes, i.e., deswelling of the thicker ionomer film driven by the Faradaic processes. It was inferred from the simultaneous decrease in frequency and motional resistance, that the incorporation of  $CO_3^{2-}$  and HCOO<sup>-</sup> into the ionomer film was accompanied by egress of water from the film.

In summary, as an *in situ* analytical technique with high sensitivity, the EQCM has been employed in a wide range of studies on various electrode interfaces, ranging from metal catalysts to polymer thin films under different environments. Nevertheless, the poor selectivity limits the mass change signal and restricts the quantitative analysis of more complicated processes. If more than one species is involved in the mass change process, the  $M/n$  value determined from [eq 6](#page-29-0) will not be adequate to identify nor distinguish them from each other. Hardwick et al. recently proposed an approach to deconvolve the contributions from multiple species by EQCM assisted by DFT calculations, which sample and screen the possible stoichiometric coefficients on an energy basis.<sup>[364](#page-42-0)</sup> It is also highly valuable to combine EQCM with other in situ techniques for complementary information, such as DEMS,<sup>[260](#page-39-0)</sup> in situ Raman spectroscopy, and others for characterizing reaction intermediates and elementary steps during electrocatalysis.

#### 7. CONCLUSIONS

In this review, we have introduced various in situ/operando methods and their applications for understanding interfacial electrocatalysis. In particular, we have reviewed operando synchrotron-based XAS and surface-sensitive X-ray scattering for providing chemical and structural information on electrocatalysts at electrode−electrolyte interfaces for working electrocatalysts in realistic reactors and/or devices. Moving from macroscopic to microscopic levels, we introduced the applications of ex situ STEM and EELS/EDX, which can provide atomic-scale structural, compositional, and bonding information These ex situ measurements are instrumental for resolving the complex catalyst surfaces and provide valuable guidance for further in situ TEM experiments. In situ electrochemical liquid-cell STEM and EELS/EDX are helping unveil numerous important electrocatalytic reactions in solution phase with nanometer-scale or higher resolution. In situ liquid-cell STEM provides valuable insights for identifying the primary catalyst degradation mechanism(s) such as coalescence and/or Ostwald ripening of nanoparticles, dissolution/redeposition, and support corrosion under catalytically relevant reaction conditions. These studies will further our knowledge of how catalysts degrade over time and provide rational guidance criteria to deliberately design more active, selective and durable electrocatalysts. In situ heating and gasphase TEM enables the investigation of gas-phase (electro) catalytic reactions at the atomic scale under controlled temperature and gas environments. Operando X-ray and STEM in gas/liquid phases can serve as microreactors to investigate the dynamic structural evolution of materials during catalyst synthesis, catalytic reactions, and extended durability tests. SECM offers an opportunity for the direct probing of local activity and selectivity of individual heterogeneous nanoparticle electrocatalysts. DEMS and EQCM provide important mechanistic insights on catalyst selectivity and

distinction of products and reaction intermediates, as well as stability during electrochemical reactions. The continuous improvement of in situ/operando methods will advance our fundamental understanding of the interfacial electrocatalysis and may lead to ground-breaking advances in sustainable and renewable energy applications.

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#### Author Contributions

# Y.Y. and Y.X. contributed equally. Y.Y. wrote the in situ/ operando X-ray and S/TEM sections. Y.X. wrote the ex situ S/ TEM sections and designed the TOC, and M.K. wrote the SECM section. R.Z. wrote the DEMS section and X.L. wrote the EQCM section. X.H. and H.W. revised the X-ray and DEMS sections, respectively, and W.X. performed editorial revisions. The manuscript was written through contributions of all coauthors under the guidance of H.D.A, D.A.M, J.D.B, and

<span id="page-32-0"></span>F.J.D. All authors have given approval to the final version of the manuscript.

### Notes

The authors declare no competing financial interest.

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